





## **Legal Aid Commission of NSW**



2005-2006 Annual Report

### **Our role**

The Legal Aid Commission of NSW provides legal aid services in New South Wales. It is the largest legal aid agency in Australia.

The Commission is established under the Legal Aid Commission Act 1979 to improve access to justice by providing a range of legal services to socially and economically disadvantaged people.

We are an independent statutory body.

We work in partnership with private practitioners who receive funding from the Commission to represent legally-aided clients. In 2005–2006, private practitioners represented 45% of all legal aid clients.

In 2005–2006 we provided 502,390 client services, which included case grants, duty appearances, legal advice, information services and community legal education through our head office, 20 regional offices and private practitioners.

The Commission reports to the NSW
Attorney General, The Hon. Bob Debus, MP.

#### **Our mission**

To deliver a range of innovative, high quality legal services to our clients and the community, to assist them to resolve their legal problems.

#### **Our vision**

To ensure that people who are economically and socially disadvantaged can understand, protect and enforce their legal rights and interests.

#### **Our values**

**Integrity:** Acting ethically at all times **Professionalism:** Providing high quality services

**Efficiency:** Using resources responsibly **Equity:** Ensuring equal access to services **Innovation:** Finding better ways to do things.

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# Achievements in 2005–2006

### Focus for 2006-2007

## 1. Improved quality, range and accessibility of our client services

- Survey shows clients are highly satisfied with our advice services
- Simplified our means test and increased thresholds
- Developed an online means test indicator
- Increased services to Aboriginal clients
- Established a Coronial Inquest Unit
- Expanded our grants of aid in human rights matters
- Established an agreement with the Coalition of Aboriginal Legal Services
- Held 2,135 family mediation conferences

## 2. Improved systems and processes in order to work more effectively

- Developed new performance indicators
- Conducted best practice reviews of four key service
- Achieved a 30% increase in private law firms lodging legal aid applications online
- Introduced file review and audit systems across all legal program areas as well as for private practitioners

## 3. Continued to build an organisation of skilled and committed people

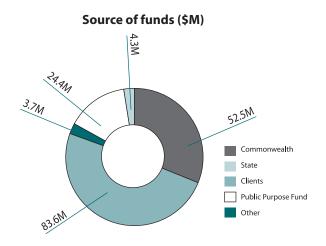
- Over 1,600 employee attendances at 200 training sessions
- Set up a career development program for new lawyers and recent graduates
- More staff enrolled in comprehensive business management training
- Lawyers attended a new intensive advocacy training program

## 4. Helped to shape the justice system through policy and reform work

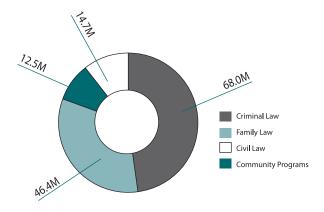
- Prepared 29 law reform submissions
- Helped establish the NSW Legal Assistance Forum (NLAF)—a statewide forum on planning and delivering legal services
- Introduced a new case conferencing system to reduce late guilty pleas

- Improve services to **rural clients** by implementing the Regional Solicitor Program and developing a Rural Client Service Strategy
- Expand our civil law services to additional locations
- Implement new service delivery initiatives for **Aboriginal clients**
- Implement a **Graduate Recruitment Program**
- Implement the outcomes of our **best**practice reviews of Children's Care and
  Protection Service, Mental Health
  Advocacy Service and Prisoners Legal
  Service
- Develop new computer systems for managing grants of legal aid and cases

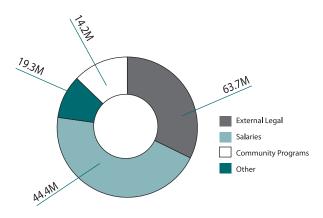
## **Budget and service delivery highlights**



#### Fund expenditure by program (\$M)



#### Where the funds are spent (\$M)



#### **Funding**

The Commission receives its income from the Commonwealth and NSW Governments, the Public Purpose Fund and client contributions. Combined income for 2005–2006 was \$168.526 million, and expenditure was \$141.598 million.

The Commission undertakes work for the Commonwealth Government on the basis of a four-and-a-half year agency agreement, which ends on 31 December, 2008.

#### **Financial highlights**

- Operating surplus of \$12.748M (before capital and individually significant items).
- Funding from the State Government increased by \$4.031M or 5.1% and from the Commonwealth Government by \$2.125M or 4%.
- The Trustees of the Public Purpose Fund increased their base funding by 25% or \$4.863M.
- Payments of \$63.650M were made to private lawyers who provide legal services to the Commission and our clients.
- Net assets increased from \$13.487M to \$40.264M.
- Expenditure for Community Programs increased from \$14.136M to \$14.720M.

For more details, see Financial Overview on page 59.

#### **Service highlights**

- Overall services to clients increased by 24%–26% in civil law, 30% in family law and 19% in criminal law.
- Established a Coronial Inquest Unit.
- Held 2,135 Alternative Dispute Resolution conferences in family law matters, with a 86% (full or partial) settlement rate.
- Introduced case conferencing in indictable criminal matters.
- Increased use of audiovisual facilities for client service.
- Expanded child support and children's care and protection services in regional areas.

For more details, see Clients on pages 12–19.



## **Key performance indicators**

The Commission works with other justice agencies to provide the people of New South Wales with a fair and equitable and efficient justice system where people take action to protect their legal rights and comply with their legal responsibilities, and where socially and economically disadvantaged members of the community have equality before the courts.

The Commission's services contribute to these outcomes by:

- Increasing the community's awareness of legal rights and responsibilities; and
- Ensuring that socially and economically disadvantaged people receive appropriate legal representation and court outcomes.

The Commission's key performance indicators as seen below, measure how well the Commission is performing in accomplishing these results for the community.

Focus area—KPI	Measures	04-05 Result	05-06 Target	05-06 Result*
Community awareness of leg	gal rights and responsibilities			
Advice provided is accurate, timely and helpful	% of clients satisfied with quality of advice provided by Commission staff (bi-annual survey)	N/A	70%	86-92%
<ul> <li>Community has access to information and advice</li> </ul>	Average waiting time for advice appt (wks)	1.1	1.1	1.1
information and advice	No. of community accessing information	157,968	217,699	242,011
	Per 100,000 of community	(2,332)		(3,534)
	No. of community accessing advice services	74,481	75,853	80,052
	Per 100,000 of community	(1,099)		(1,169)
	No. of community accessing publications	302,067	302,000	289,778
	Per 100,000 of community	(4,459)		(4,230)
	No. of community accessing community legal education sessions	5,892	5,900	9,328
	Per 100,000 of community	(87)		(136)
	No. of internet pages accessed by the community	N/A	1,900,000	2,259,901
Accessibility to Legal Aid				
Socially and economically disadvantaged people receive appropriate legal	Means test income limit as a % of national minimum weekly wage (1995 base)	40.7%	44.6%	44.6%
	% of Local Court sittings serviced by duty solicitor schemes	100%	100%	100%
Representation service stand	lards			
Representation services     are conducted according     to law and best practice	% of satisfactory comprehensive inhouse file reviews	N/A	85%	99.3%
	No. of Commission lawyers with specialist accreditation	85	75	106
	No. of Commission lawyers attending inhouse and MCLE** training sessions	1,405	1,450	1,652
*On or above target	**MCLE: Mandatory Continuing Legal Education			

## Summary of services by program

#### **WHAT WE SPENT SERVICES PROVIDED** Assigned representation | 415 Inhouse representation 1,087 Total legal representation 1,502 **Total expenditure \$12.545M** Assigned duty appearances 13,149 Inhouse duty appearances 13,343 - State \$9.312M - C'wealth \$3.233M Total duty appearances 26,492 Legal advice **1**8,274 Minor assistance 1,970 See pages 16-18 for more detail Information services 94,245 Community legal education sessions | 135 60 100 000s Assigned representation 9,805 Inhouse representation 3,032 Total legal representation 12,837 **Total expenditure \$46.406M FAMILY LAW** Assigned duty appearances 2,925 - State \$10.653M Inhouse duty appearances 3,363 - C'wealth \$35.753M Total duty appearances 6,288 Legal advice Minor assistance 2,872 See pages 14-16 for more detail Information services **81,992** Alternative Dispute Resolution 2,135 Community legal education sessions 217 100 80 40 60 000s Assigned representation Inhouse representation 32,850 **Total expenditure \$67.927M CRIMINAL LAW** Total legal representation 42,482 - State \$63.369M Assigned duty appearances 45,444 - C'wealth \$4.558M 44,800 Inhouse duty appearances Total duty appearances 90,244 28,500 See pages 19-21 for more detail Legal advice Minor assistance 698 Information services 65,774 Community legal education sessions 130 Youth Hotline services 40 60 80 100 000s Legend **COMMUNITY PROGRAMS** ADR: Alternative Dispute Resolution **Total expenditure \$14.720M** - State \$9.574M ATSI: Aboriginal and Torres Strait Islander CLC: Community Legal Centre - C'wealth \$5.146M

**CLE: Community Legal Education** 

WDVCAP: Women's Domestic Violence Court Assistance Program WDVCAS: Women's Domestic Violence Court Assistance Scheme

C'wealth: Commonwealth

Legal Aid

See pages 24-26 and 112-113 for

more detail

WHAT WE DO	WHAT WE ACHIEVED THIS YEA	R THE YEAR AHEAD
Case representation Legal advice and minor assistance General civil law information Community legal education Veterans' Advocacy Service Mental Health Advocacy Service, including duty appearances before magistrates and the Mental Health Review Tribunal	Increased services to clients by 26% Established a Coronial Inquest Unit Expanded policies in public interest human rights matters Entered an agreement with the Coalition of Aboriginal Legal Services Expanded our Women in Prison project Expanded services to Aboriginal clients Commenced the Inappropriate Lending Practices Project Reviewed the Mental Health Advocacy Service	Improve services to Aboriginal communities Expand the program to meet identified service delivery needs across New South Wales Increase mental health duty services to service additional hospital places Implement recommendations from the Mental Health Advocacy Service review Evaluate the Coronial Inquest Unit pilot Work with Air Force Associations to improve access to legal aid services to veterans
Case representation  Duty appearances at Local and Family Courts  Legal advice and minor assistance  General family law information  Alternative Dispute Resolution  Conferencing  Community Legal Education  Representation and assistance in children's care and protection matters	Increased services to clients by 30%  Held 2,135 ADR conferences, with full or partial settlement in 86% of them  Introduced an ADR pilot in property matters  Established a child support duty scheme at the Sydney Federal Magistrates Court  Introduced advice clinics In Armidale and Gunnedah  Expanded child support and care and protection services in regional areas  Reviewed the Care and Protection Service	Develop relationships and referral arrangements with the new Family Relationship Centres opening on 1 July 2006 Establish a priority telephone line to link Commission services with the Family Relationships Advice Line Develop service plans to meet client needs arising from major changes to the child support system Provide more services in regional areas especially for Aboriginal clients – both adults and children in the central west of NSW Implement the recommendations of the Care and Protection Review
Case representation Duty appearances at Local Court Legal advice and minor assistance General criminal law information Youth HotLine Adult and Youth Drug Court Programs Community Legal Education	Increased services to clients by 19% Introduced case conferencing for indictable matters Delivered training to private practitioners doing legal aid work in regional areas Reviewed the Prisoners Legal Service Increased the use of audio visual facilities in gaols Piloted self-help education sessions in Parramatta (drink driving charges) Worked with the Local Courts and Police Service on a Domestic Violence Court Intervention Model pilot	Identify ways reducing the incidence of unrepresented persons receiving a custodial sentence  Develop services for clients using new and expanded courts in the Sydney Metropolitan area (Bankstown, Blacktown, Mt Druitt)  Develop services for clients of the Children's Court at the new Parramatta Court Precinct  Improve services to, and assignment rates, for clients in custody facing defended hearings  Provide more training in criminal law and practice standards for private practitioners in regional areas  Work with clients and other agencies to ensure the effectiveness of case conferencing in reducing late pleas of guilty in the District Court
Distribute State and Commonwealth funding to 32 Community Legal Centres  Distribute State funding to 33 WDVCAP schemes, providing assistance to women at 55 courts throughout the State  Provide a domestic violence solicitor scheme at 12 courts to represent all women making ADVO applications	CLCs conducted a number of successful landmark cases  WDVCASs provided 33,915 services to women  Translated the brochure Helping you go to court to get an Apprehended Violence Order into 15 community languages  The WDVCAP annual conference, attended by 155 people, celebrated the Program's 10th birthday	Implement the recommendations of the Joint Review of the NSW Community Legal Centre Program  Extend the Children's Court Assistance Scheme to Cobham and Bidura Children's Courts  Find new ways for justice system agencies to work together in order to improve services to women experiencing domestic violence  Review the Domestic Violence Solicitor Scheme  Develop an online resource and information tool and best practice database

## **Corporate Plan 2005–2007**

#### **BUSINESS STRATEGIES**

#### **Client services**

The needs of our clients and the community will drive us to improve the quality, range, responsiveness and accessibility of our services.

- Identify and implement innovative and flexible ways to more effectively meet client and community needs
- Ensure our policies allow for the widest range of people in need to access our services
- Develop policies and procedures that support the early resolution of disputes and the use of diversionary programs
- Drive the co-ordinated delivery of legal services through effective partnerships with other legal service providers across the State
- Provide consistency in the delivery of our services across the State

## Skilled and committed people

The Commission will be a professionally and personally rewarding place to work. We will support our staff to be highly skilled, responsive, innovative and flexible team members.

- Strengthen our position as a leading legal firm by attracting skilled people to rewarding jobs
- Strengthen organisational capacity by developing the skills and talent of all our people
- Identify ways to enhance the role of administrative and clerical staff in the Commission
- Provide lawyers with diversity and an improved career path within the Commission

## Strong systems and processes

We will continue to improve our systems and processes to help us work more effectively and make sound business decisions.

- Ensure our policies and procedures are equitably and consistently applied
- Improve the accuracy, timeliness and accessibility of management information
- Provide our staff with improved business and management tools
- Simplify our business processes
- Use feedback from clients, partners and stakeholders to enhance the delivery of our services

#### Shaping the justice system

We will be at the forefront of policy development and innovation in the justice system, ensuring that the interests of our clients are identified and acknowledged by a fair and responsive justice system.

- Maximise our contribution to legal service policy and reform
- Target opportunities to bring about procedural reform in the justice system
- Enhance and broaden our relationships with stakeholders, government and community agencies
- Alert policy makers to the financial and operational impact of proposed legislation and policy decisions on the Commission

#### **PERFORMANCE INDICATORS**

#### **OUTCOMES**

#### **PAGE**

A self assessment means test for clients is available on our website by 31/12/05	Means test indicator developed and available on the Legal Aid website	12
A client service strategy for ATSI clients is piloted by 30/6/06	Developed guidelines: Making Our Services Work for Aboriginal People	13
Minor assistance services in family law are introduced by 30/6/06	2,875 minor assistance services provided to 30 June 2006	-
A pilot of ADR for property matters in family law is conducted by 30/6/06	100 conferences conducted in property matters	15
A proposal for the statewide implementation of the Cooperative Legal Service Delivery model is developed by 31/12/05	Proposal developed and accepted with implementation in two additional regions of Albury/Wagga Wagga and the Hunter.	23
The role locations and structure of operations in Sydney West are reviewed by 30/6/06	Review completed	42
Practice/Procedure Manuals are developed for all program areas by 30/6/06	Manuals developed for all three legal program areas as well as the Grants Division and Records Management	42
A recruitment strategy for the Commission incorporating graduate employment and summer clerkships is implemented by 30/6/06	Graduate Recruitment program developed with first intake due in February 2007	28
A comprehensive induction program for new starters is implemented by 30/6/06	The new Induction Framework document has been developed and will be implemented by 30 September 2006.	28
A review of office support organisational roles and structures at head office and regional offices is undertaken by 30/6/06	Review deferred while the impact of new case management systems is assessed	-
Voluntary job rotation is introduced across all program areas by 30/6/06	Voluntary job rotation has been implemented and has become an active approach to staffing.	28
File review and audit systems are implemented in all legal program areas and for private practitioners by 30/6/06	File review and audit systems have been implemented in the three program areas and an audit priority strategy established for panel private practitioners	41, 42, 45
A data warehouse is established for all Legal Aid applications by 30/6/06	A data warehouse has been established and integration with other operating systems is complete	44
Key Performance Indicators and Business Activity Measures are implemented by 31/12/05	Key Performance Indicators were implemented in October 2005.	38
Introduce a case management system across all program areas	A pilot is currently underway in criminal law and will commence shortly for family law with implementation in civil law to commence in late 2006.	43
Usage of E-Lodgement is expanded to criminal cases by 30/6/06	54% of private practitioner applications in Local Court crime and 36% of applications in Children's Court crime are e-lodged as at mid June 2006.	45
Commission's client policies are rewritten in plain language by 30/6/06	Work has commenced with two chapters of the Manual due for completion in July 2006 and majority of the remaining chapters by December 2006.	41
A client survey is undertaken by 30/6/06	A client survey was conducted during March and April 2006	12
The NSW Legal Assistance Forum for statewide collaboration on service delivery is established by 31/10/05	Forum has been established and meets on a quarterly basis.  Working Groups have been set up to progress the development of specific issues.	47
The Commission's response to the Criminal Case Processing reforms is implemented and evaluated by 30/6/06	The Case Conferencing process began on 1 January 2006.	41
Legal aid information kits are developed by 31/12/05	Kits developed for target areas in civil, family and criminal law.	-
Business Rules are published on the For Legal Practitioner (FLP) website or the Commission's Intranet by 30/6/06	Completed	-
The impact on the Commission and its clients of law reform proposals is identified and included in Commission submissions	Completed. Included on an ongoing basis.	-



### Chairman's report

"The Commission now has a strong platform to meet the increasing community need for its services."

I am again delighted to provide this report in connection with the 27th annual report of the Legal Aid Commission of New South Wales.

The Commission had a successful year in all respects. I believe it now has a strong platform, both financially and in terms of organisational support, to meet the increasing community need for its services.

#### Some of the highlights last year include:

- The Commission's overall services to its clients increased by 24%. Services to clients increased by 19% for criminal law, 26% for civil law and 30% for family law.
- The Commission has developed an online means test indicator to assist clients and private practitioners to determine whether they satisfy the means test requirements for legal aid. This is a first for Legal Aid Commissions around Australia.
- Our means test has been simplified and the eligibility criteria has been increased to improve eligibility for assistance.
- We have been able to increase our representation in a number of areas including the establishment of a Coronial Inquest Unit, a pilot program for conferencing property matters and the expansion of our alternative dispute resolution conferencing program.

A key objective of the Commission is to explore the means to improve co-ordination and co-operation between service providers. For example:

- which was commenced in March 2004 has been expanded to operate in two further regions
  - Albury/Wagga Wagga and the Hunter;
- the Commission has entered into an agreement with the Coalition of Aboriginal Legal Services to improve access to civil law advice services to Aboriginal communities.

During the year Mark Richardson retired as a member of the Commission. Mark was the representative of the Law Society on the Commission. I would like to thank Mark for his valuable contribution. Mark's replacement is Ms Pauline Wright.

Once again, I thank Bill Grant and all of the team at the Commission for their dedication to the continuing improvement of the provision of legal aid in New South Wales.

Phillip Taylor, Chairman





### **CEO's report**

I am pleased to present the Commission's Annual Report for 2005–2006—a year in which the Commission has significantly increased its service delivery numbers in nearly all areas of operations.

The staff of the Commission, in conjunction with our private practitioner colleagues have provided greater numbers of services to our clients in all of our practice areas, criminal, family and civil. We have also significantly increased our community legal education sessions with a corresponding substantial increase in the number of people accessing these services. I am also very pleased that the Commission has again provided more services to the Aboriginal community and we look forward to working with the new Aboriginal Legal Service Limited to continually improve our services to Aboriginal people.

"We have been able to expand and enhance our service delivery to the economically and socially disadvantaged members of our community."

The Commission has had a busy year but we have still been able to expand and/or enhance our service delivery to the economically and socially disadvantaged members of our community. For example, the Commission has established:

- a Coronial Inquest Unit to increase access to representation in inquests where questions of public interest arise;
- the Commission's Cooperative Legal Service
   Delivery model and as a result rolled the model out
   to an additional two areas at Albury/Wagga and
   the Hunter;
- expansion of the e-lodgement facility to criminal cases:
- a legal service which visits women in custody, providing them with civil and family law assistance;
- · a Legal Aid Human Rights Committee to focus

on promoting and protecting human rights in public interest human rights matters.

This year the Commission conducted its first client satisfaction survey in relation to our advice services. The survey indicated a high to very high level of satisfaction with:

- 91.5% of clients indicating they were satisfied with the services of our clerical staff.
- 85.9% indicating satisfaction with the services of our lawyers, and
- 90.5% indicating that they would recommend our services to another person.

The Commission was able to again raise the fees it pays to private practitioners. This continues our commitment to our private practitioner colleagues to try to pay a return for undertaking legal aid work which goes closer to reflecting commercial reality. There is still much to achieve in this area.

The Commission was also able, for the first time in 10 years— and with the assistance of the Public Purpose Fund—to commence the process of updating its means test. The Commission is committed to bring the thresholds completely up to date as soon as possible. The Commission also created a Means Test Indicator on its website so that potential clients can calculate if they pass the Commission's means test, and therefore qualify for legal aid.

During the year the Commission again demonstrated its commitment to working with its service delivery partners to better coordinate the provision of services to our clients, by assisting to establish the NSW Legal Assistance Forum of peak bodies involved in the delivery of public legal issues. This body is working extremely well in its first year of operation.

The senior management and staff of the Commission now look forward to another year of innovation and expansion of our services to better assist the economically and socially disadvantaged members of our community.

must

Bill Grant, OAM, CEO

### **Our services**

#### Information

Our legal information services are free and can be accessed by the general community. Our staff can help with any initial inquiries about a legal problem and legal processes and will send written information to help clients understand their situation and the availability of legal aid. Our staff will help clients work out what to do next, and the best place to go if they need further assistance.

#### **Youth Hotline**

Our telephone hotline provides legal advice to young people who are in trouble with the police. The services operate 9am to midnight on weekdays, with a 24 hour service between Friday and Sunday and on public holidays.

#### Legal advice and minor assistance

Legal advice is free and available to our clients over the phone, face-to face and through video-conferencing. Our lawyers will help clients to identify their problem, inform them of their legal rights and obligations and help them to understand what course of action can be taken. The lawyer may also draft letters or other documents for the client and make telephone calls on their behalf to help resolve their problem.

#### **Community legal education and publications**

We provide free information, publications and information kits to all people in New South Wales to help them understand their legal rights and responsibilities. We conduct information sessions at venues across New South Wales and for community groups, schools and other organisations on request. The sessions can be tailored to suit the needs or interests of particular groups.

We provide a wide range of brochures and information kits explaining the law and legal processes. Our brochures are available at our offices, many community organisations and on our website.

#### **Duty solicitor services**

The Commission provides a lawyer (called a duty solicitor) to all sittings of the Local Court and at some other courts. Duty solicitors act for people appearing in a Local Court on a criminal charge for the first time and who have no one to represent

them. Usually the duty lawyer will give some initial legal advice and, if necessary, apply for bail and for the case to be adjourned so the client can obtain legal advice and representation. The duty solicitor can also help people apply for legal aid. The duty solicitor service is free.

The Commission also provides duty solicitor services at some sittings of the Family Court of Australia and the Federal Magistrates Court.

#### Legal representation

The Commission provides legal representation to people who meet our eligibility tests in most criminal law, family law and civil law matters. Clients need to apply for legal aid using our Legal Aid Application form. Only people with income and assets below a certain level can obtain legal aid. Most people granted legal aid are required to pay a small contribution towards the costs of their legal representation.

#### **Alternative Dispute Resolution (ADR)**

We provide Alternative Dispute Resolution conferences in family law matters to resolve disputes at an early stage. The parties are given the opportunity to negotiate a settlement that suits them both without the need to go to court. If a settlement is reached, consent orders are drafted and filed in the Family Court. At least one of the parties must have a grant of legal aid before a conference is organised.

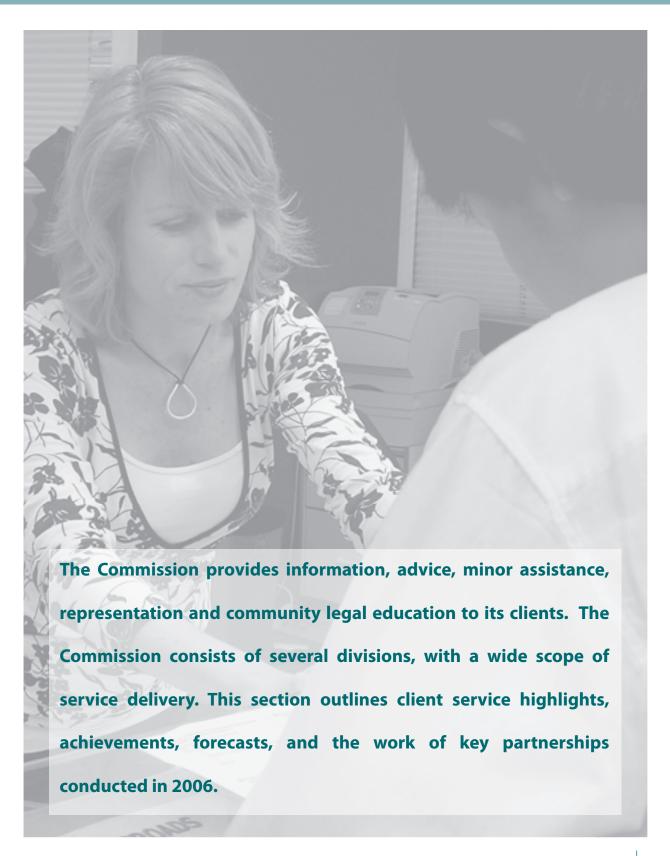
#### Specialist services

Our specialist services are provided by experienced lawyers and other staff with specialist knowledge in particular areas of law. Services include:

- · Children's Legal Service
- Mental Health Advocacy Service
- · Prisoners Legal Service
- Adult Drug Court
- · Youth Drug and Alcohol Court
- Child Support Service
- Child Care and Protection Legal Service
- Veterans' Advocacy Service
- Human Rights Unit
- Coronial Inquest Unit
- Client Assessment and Referral Unit.



## **Our clients**



### **Client services**

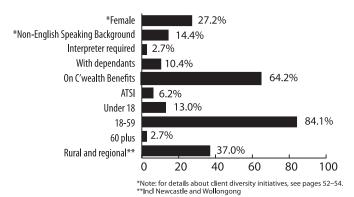
#### **Our clients**

The Legal Aid Commission ensures that community members suffering disadvantage through financial or social circumstances have access to valuable legal assistance across a range of services including information, advice, alternate dispute resolution, community legal education and court representation. The Commission's work directly contributes to socially and economically disadvantaged members of our community achieving equality before the courts.

In 2005–2006 we provided 502,390 client services—an increase of 24% on the previous year. The Commission's client base in 2005–2006 remains relatively stable. The increasing trend in services delivered to Aboriginal people continued its growth as did the number of clients in receipt of Commonwealth benefits. Small variations in numbers within other client groups were noted.

#### **Commission-wide client profile**

(based on total cases and inhouse duty services)



#### **Major achievements**

#### Survey results show high satisfaction

In March/April 2006, we undertook a survey of clients using our legal advice services in order to measure client satisfaction and identify areas for improvement.

Almost 92% of clients indicated that they were satisfied with the services delivered by clerical staff

and 86% were satisfied with the services delivered by Commission lawyers.

The overwhelming majority of clients surveyed (90.5%) said they would recommend our services to another person.

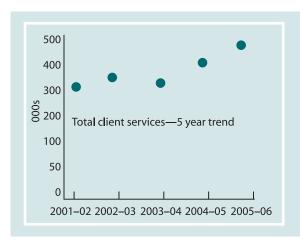
Clients reported that the most positive aspects of their visit to a Legal Aid office were "the lawyers/ clerical staff/everybody was interested, helpful and polite" and "got the advice needed", and only a small number of clients reported negative aspects, such as "disappointment with information/advice" and "waiting time in office".

#### More people can access legal aid

We simplified our means test for legal aid applicants receiving Centrelink income support payments at the maximum rate. We increased the income and asset test thresholds. Under the new limits, more people who cannot afford a private lawyer will be able to qualify for legal aid.

#### New online tool—a national first

In a first for legal aid commissions around Australia, the Commission developed a self-assessment means test indicator. Now, anyone visiting our website can quickly navigate a simple process to calculate if they are likely to be eligible for a grant of legal aid. Since its launch in April 2006, over 500 people per week have used the indicator. Other government and community agencies have been quick to embrace the new tool and incorporate it into their daily work.



We increased our services to clients by 24% in 2005–2006. Our business priority this year was to improve the quality, range, responsiveness and accessibility of our services for our clients and the community.

Our customer service officers are very happy with Legal Aid's new indicator as it is simple, uses plain language and can easily be explained to our customers.

We now use this tool in training our new staff about the means test. It has certainly given them greater confidence in talking over means test eligibility with customers.

> Amanda Templeman Project Manager, Service Development LawAccess NSW

#### **Improving Aboriginal service delivery**

The number of Legal Aid services provided to Aboriginal clients has increased over the past five years, and is expected to grow further as the Commission strengthens its working partnership with Aboriginal Legal Services.

To ensure Legal Aid effectively meets the needs of Aboriginal people throughout NSW, the Commission developed new guidelines on delivering culturally appropriate services for Aboriginal people. *Making our Services Work for Aboriginal People* will assist our staff to be aware of the difficulties faced by Aboriginal people in accessing the justice system, and help ensure that they communicate sensitively with Aboriginal clients.

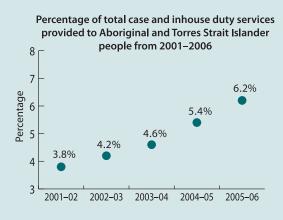
The guidelines were developed in consultation with a wide range of Aboriginal organisations and individuals, including the Coalition of Aboriginal Legal Services (now the Aboriginal Legal Service (NSW/ACT) Limited) and NSW Aboriginal Justice Advisory Committee.

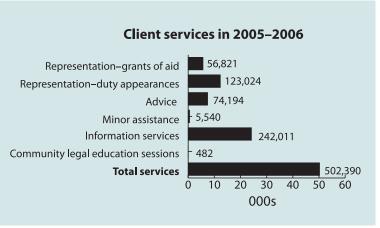
The Commission continued to provide Aboriginal Awareness training to staff.

We also increased our community legal education program to Aboriginal people living in regional and remote areas through participation in Combined Forums, a joint government initiative. Along with a number of other government departments, we visited Aboriginal communities around the State to advise about our services. This year, Commission lawyers attended forums at Bourke, Brewarrina, Dubbo, Lismore, Newcastle, Nowra, Port Macquarie, Queanbeyan and Walgett.

#### The year ahead

- The Commission will develop and implement
  a Client Service Charter to support its
  commitment to clients. The charter will help
  clients know what to expect when using our
  services, how to provide feedback and ensure
  that we resolve and record client complaints and
  feedback effectively. The charter will be closely
  aligned with the Commission's vision, mission
  and values.
- We will implement new service delivery initiatives for Aboriginal clients in partnership with Aboriginal Legal Services.
- A new Rural Client Service Strategy will help ensure people living in isolated areas have better access to Legal Aid services.
- There will be an expansion of our civil law services to additional new locations.





## **Family law**

This division assists people in resolving disputes arising from family breakdown (including mediation and advice in child support and care and protection matters). This division encompasses the Family Law Section, Child Support Service, Child Care and Protection Legal Service, and the Alternative Dispute Resolution Unit. It provides services in our Sydney Central office and 20 regional offices.

#### **Major achievements**

This year, the division expanded its community outreach and duty lawyer services so that more people around NSW have access to a range of family law services.

#### **Achievements included:**

- Introducing a pilot program to conference property matters and expanding the Commission's Alternative Dispute Resolution Conferencing Program by holding 2,135 family law conferences. A full or partial settlement was achieved in 86% of those conferences.
- Establishing a specialised child support duty scheme at the Federal Magistrates' Court at Sydney.
- Introducing regular free advice clinics in isolated regional areas including Cobar, Armidale and Gunnedah, as well as Wellington, with a focus on providing services to indigenous clients.
- Extending the network of child support outreach services to include San Remo, Parkes, Cowra, Gilgandra, Narromine, Narrandera, Jerilderie,

- Deniliquin, Hay and Balranald.
- Expanding our Care and Protection Legal Service to the Dubbo office to increase services to clients in the central west and west of the State.
- Solicitors from our Parramatta office participated in the Living in Harmony initiative of the Family Court, Parramatta registry. The project aimed to resource and educate bicultural educators from emerging communities including Somali, Eritrean, Afghani, South Sudanese, Iraqi and Ethiopian in family law and the legal system. The project was a partnership between the Family Court and the Department of Immigration and Multicultural Affairs, Baulkham Hills Holroyd Parramatta Migrant Resource Centre, the Department of Community Services, NSW Police and Legal Aid.

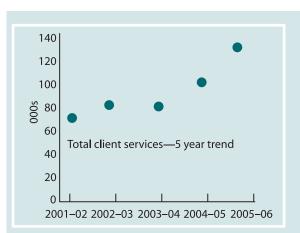
#### Family law cases: successful outcomes

#### Interstate dispute settles

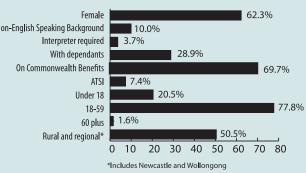
Our client had fled interstate with her two children, escaping years of domestic violence. The older child had been living with the father and our client was served with a recovery order concerning both children. With Legal Aid's help, she resisted the recovery order and successfully obtained final orders for residence.

#### Unexplained injury to child

We represented a young child who had sustained major, inexplicable head injuries. Due to the nature of these injuries, the court had to deal



### **Family law client profile** (based on total cases and inhouse duty services)



with complex medical evidence in the fields of neurology, ophthalmology, radiology, paediatrics and audiology. To assist the court, the child's representative arranged for a meeting of experts to distill all the medical issues into a final summary on which they could all agree. During the hearing, three of the main medical experts were sworn in and went into the witness box together. Each had a copy of the summary, and elaborated upon his/her own position, and also commented on the positions of the others. This case was a good example of how conferences and a collective of experts can assist the Children's Courts in dealing with the complex medical evidence that is often typical of these matters.

#### The year ahead

The Family Law Division will respond to major changes to family law, family dispute resolution and child support legislation being introduced in July 2006. These will include:

- Developing relationships and referral arrangements with the new Family Relationship Centres opening on 1 July 2006
- Establishing a priority telephone line to link Legal Aid Commission services with the Family Relationship Advice Line
- Planning further initiatives in service delivery to meet client need arising from the major changes to be introduced in the child support system

#### Family law client services in 2005–2006 12,837 Representation-grants of aid 6,288 Representation–duty appearances 27,738 Advice Minor assistance Information services 81,992 Community legal education sessions 217 **Total services** 131,994 30 60 90 120 150 000s

#### Highlight case—family law

#### Mother reunited with her child

We acted for a mother in a care and protection appeal in the District Court. The matter had previously been appealed to the Supreme Court on the issue of interim contact and is reported as *Re Liam* [2005] *NSWSC 74* – now one of the leading cases on the duty of the Children's Court in contact matters.

The child, now five, was two years old at the time of the District Court appeal. He had lived with our client from birth to 15 months, when he was removed by the Department of Community Services (DoCS) after concerns were raised about our client's drinking, gambling, mental health and aggression.

The magistrate found there was no realistic possibility of "restoration" and placed S under the parental responsibility of the Minister until the age of 18. He ordered minimum contact to our client of two hours once every two months, extended to three hours when S turns five. (This decision is reported as In the matter of *Spencer*, 2005 CLN 7.)

At the hearing, the magistrate said it was unfortunate there was no children's court clinic assessment. On appeal, an assessment order was made. The clinician found that, although S had been separated from our client for over 12 months, their relationship was well-developed, stable and secure. This was also supported by many supervised contact reports.

The clinician recommended that S be returned to his mother, subject to our client attending therapy for her mental health issues. However, DoCS maintained that the magistrate's orders should remain.

After an appeal that ran for 13 days, the District Court judge found that there was a realistic possibility of restoring the child to his mother and directed DoCS to prepare a new permanency plan.

The new plan (including specific undertakings by our client) placed S in the parental responsibility of the Minister for two years with a view to returning him to his mother within six months. This means S will be back in our client's full time care by October 2006.

### Civil law

- Reviewing the standards for our Alternative
  Dispute Resolution Program chairpersons, to
  ensure the ADR program continues to deliver
  high quality services which comply with the
  accreditation provisions being introduced in the
  family law reforms.
- Introducing the development of parenting plans as an alternative in formalising agreements reached in dispute resolution conferences.
- Continuing professional development programs to ensure staff are able to meet the challenges of the major reforms in family law and child support.

#### **Key challenges**

Positioning the division to work effectively with the new Family Relationship Centres.

A key commitment will be to provide more services in regional areas especially those focusing on the needs of indigenous clients—both adults and children—in the central west of NSW.

This division assists people with a range of civil law problems including housing, debt, social security, public interest and discrimination issues and hearings before specialist tribunals. The division provides services in the Sydney CBD office and 10 regional offices, expanding to six more locations in 2006–2007. This division includes the Civil Law Section, Veterans' Advocacy Service and Mental Health Advocacy Service.

#### **Major achievements**

- A Coronial Inquest Unit was established in March 2006 to increase representation to people in inquest matters, particularly those raising issues that are of public interest. In its first three months of operation, the unit received 14 applications for aid.
- Civil law policies were expanded to provide grants of aid in human rights matters that raise public interest issues. A Human Rights Committee comprising representatives of the Law Society, Bar Association and University of NSW has been established to make recommendations in relation to grants of aid in these matters. The Committee has held its first meeting and a number of grants of aid have been made.
- Our Women in Prison project was expanded, providing a civil advice service to female inmates at five prisons across the state, thereby meeting a service gap for a particularly disadvantaged client group.
- We expanded civil law services to Aboriginal clients by employing an additional solicitor at our Lismore office to provide outreach to Aboriginal communities in the far north of the state. We have also commenced a new civil law advice service at the offices of the Aboriginal Legal Service at Redfern.
- An agreement between the Commission and the Coalition of Aboriginal Legal Services will improve access to civil law advice services for Aboriginal communities. Under the agreement, Commission solicitors attend a number of Aboriginal Legal Service (ALS) offices to provide

advice services. The ALS facilitates the service by providing resources including office space, access to computers and administrative support.

- We embarked on a joint project with the Public Interest Advocacy Centre and a number of private law firms to address human rights concerns about children held in detention within the criminal justice system. The project involves undertaking casework as well as identifying and seeking solutions to systemic problems.
- In a joint project with the Public Interest
  Advocacy Centre and the Public Interest Law
  Clearing House, we assisted the increasing
  number of Commission clients who are at risk of
  losing their homes due to inappropriate lending
  practices. The Inappropriate Lending Practices
  Project provides representation in relevant cases
  as well as identifying and seeking solutions to
  systemic issues.
- The Veteran's Advocacy Service entered into an agreement with the Returned Services League for referral of matters to the Service.

#### Civil law cases: excellent outcomes

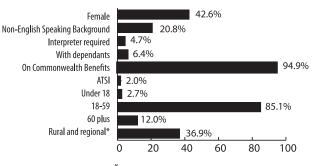
Civil lawyers represented extremely disadvantaged clients in a wide range of matters.

#### **Unjust mortgage contract**

A disability pensioner who suffers various health problems and is the primary carer of two dependant children, was persuaded to enter into a loan and

#### **Civil law client profile**

(based on total cases and inhouse duty services)



\*Includes Newcastle and Wollongong

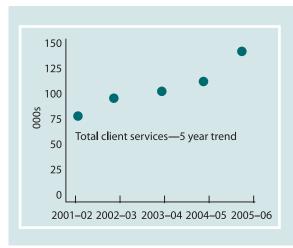
mortgage contract by a con man, leaving her with a mortgage over her home. The Supreme Court set aside the contract, finding that the mortgage was unjust and the lender acted unconscionably.

#### **Tenant keeps her home**

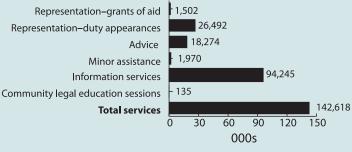
A mother with several children was seriously behind in her rent. The Department of Housing terminated her tenancy, leaving the family facing hardship and separation. We assisted the mother in appealing the decision. The matter was settled with the department agreeing to continue the tenancy.

#### **Assisting mentally ill prisoners**

Our client was sentenced to a term of imprisonment for assault causing bodily harm. The client had been certified as mentally ill while on remand for the offence and the magistrate, when sentencing him, ordered that he be released at the end of his non parole period. When the time



#### Civil law client services in 2005-2006



came for release from prison, The Department of Corrective Services refused to release our client arguing that the parole conditions ordered by the court did not apply to the client because he was mentally ill.

We successfully applied to the Supreme Court to have the Department's decision overturned and our client was released from prison after his non parole period so that he could enter a psychiatric hospital and receive medical assistance.

#### The year ahead

The civil law program will be expanded to meet identified service delivery needs across New South Wales. This will improve our capacity to provide consistent statewide services in a number of areas including Lismore, Gosford, Campbelltown, Penrith, Nowra and Wagga Wagga.

Our mental health duty services will be increased to service additional hospital places. A number of new mental health services will commence in the next financial year including at Lismore and Hornsby. We will provide duty services for magistrates hearings servicing these new facilities.

After its first year of operation, we will evaluate the Coronial Inquest Unit, assessing its effectiveness in providing quality representation in coronial inquest matters and representing the public interest in relevant cases.

The Veterans' Advocacy Service (VAS) will develop initiatives in cooperation with service organisations, to enhance access to the service to veterans across the state. Next year, VAS will work with Air Force Associations to improve access to veterans who are members.

#### **Key challenges**

To improve service delivery in rural areas and to Aboriginal communities.

To provide greater access to civil legal services across the State.

#### Highlight case—civil law

#### **Changes for young wards**

Some of our cases can have a wider community impact. The first inquest conducted by our Coronial Inquest Unit related to the death of a young person who was a ward of the state and in the care of a private agency. The young person appeared to have committed suicide after being accused of a serious offence against another young person in the care of the same agency. However, the offence was not proven and no charges were laid.

The case raised the issue of the adequacy of support and supervision provided to young wards of the state and the responsibility of carers to safeguard the needs of a ward accused of a criminal offence. The Court heard that as a consequence of the death, many changes have been made to the way young wards are cared for.

The Coroner requested the Department of Community Services to ensure clients have access to the Legal Aid Commission's Youth Hotline. The Coroner also referred the matter to the Ombudsman so that the issues raised could be considered throughout the sector.



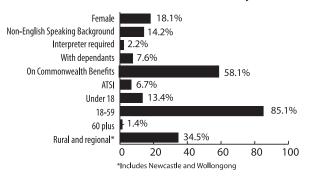
### **Criminal law**

This division provides legal aid services in all criminal courts from its Sydney CBD office and 19 regional offices. This division includes: Inner City Local Courts, Children's Legal Service, Advocacy Unit, Committals Unit, Indictable Section, Indictable Appeals, Prisoners Legal Service, Youth Drug and Alcohol Court, and Adult Drug Court.

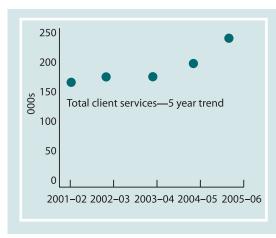
#### **Major achievements**

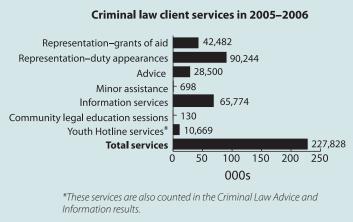
- We provided clients in custody with better access to lawyers and information by increasing the use of audio-visual link (AVL) facilities. The number of clients assisted via AVL increased from 2,687 in 2004-2005 to 3,550 this year.
- We increased by six, the number of criminal lawyers in the Committals Unit, to maintain a high quality of service to our clients appearing in centralised committals courts in Sydney and regional areas.
- Filling an identified information gap, our Parramatta office piloted a Drink Driving Education Program in January 2006, running fortnightly self-help education sessions for people pleading guilty to drink driving charges. Legal aid is generally not available to people pleading guilty to a charge of driving unless they are likely to go to gaol.
- Legal Aid liaised with the Local Courts and Police Service on a Domestic Violence Court Intervention Model pilot at Wagga Wagga and Campbelltown Local Courts.

### Criminal law client profile (based on total cases and inhouse duty services)



- As private lawyers are responsible for conducting half the duty work in the state, the Commission has a responsibility to support them in all aspects of duty work. This year, we introduced a new training program on minimum practice standards for duty lawyers. One of the main aims of this initiative was to improve the Commission's service delivery to clients through private lawyers. Training days on the far north coast and south coast met with great success, leading to a rollout across the state.
- Training has also been provided to the private profession for case conferencing (in conjunction with the Grants Division) across the State which will allow assigned lawyers to better appreciate the requirements of the new reforms relating to indictable matters before the Local Court. The training has assisted lawyers appearing for legally aided clients in such matters. Similar training in regional and remote areas is planned for 2006-2007.





Legal aid lawyers are able to offer their clients an alternative to court through the Magistrates Early Referral Into Treatment Program (MERIT). MERIT provides medical treatment rather than custody for people accused of non-violent drug-related crimes at local court level. Legal Aid lawyers across the state played a major role in the success of the MERIT program. This year, MERIT was launched at Fairfield Court on 23 February 2006 where Fairfield legal aid lawyers had made 75% of all referrals received by the program in its first two months.

Sydney South West Area Health Service is very happy with these results.

Matthew Noone, Chair, Fairfield Court MERIT Steering

#### Significant cases in criminal law

#### Walker v Bugden [2005] NSW SC 898

We appealed to the Supreme Court against a Local Court decision to order a buccal swab be taken from a person suspected of having committed a robbery. No DNA evidence had been identified at the scene of the crime.

The Supreme Court held that there was no material on which the magistrate could be satisfied of the matters set out in s25 of the *Crimes (Forensic* 

*Procedures) Act.* The appeal was granted and the order for the buccal swab was vacated.

#### Motekiai Taufahema v The Queen [2006] NSW CCA 15 2

Our client was convicted of shooting a police officer and given a 16 year non-parole period. He had been driving a car involved in a police chase when a passenger in the car shot at the police officer and killed him. Our client was convicted of murder on the basis of joint criminal enterprise, and common purpose. The matter was successfully appealed to the Court of Criminal Appeal and our client was acquitted on the basis that there was insufficient evidence pointing to joint criminal purpose. The matter is currently the subject of a Crown Special Leave application to the High Court of Australia.

#### **Client graduates from Drug Court program**

Legal Aid represented a woman K, who came into the NSW Drug Court in early 2005. She had been in custody on six prior occasions and had a criminal history which dated back to 1995. As a result of her criminal offences and long-term drug use, her two children had been removed from her care by the Department of Community Services. K had a very rocky start to her Drug Court program, with a warrant issued for her arrest after only two weeks. She was not fulfilling her

#### Highlight caselaw—criminal law

#### Aftermath of the Macquarie Fields riots

In February 2005 the death of two local teenagers in a car accident resulted in four days of rioting by young men and women in Macquarie Fields. The rioting sent shockwaves through Sydney and generated intense media interest and debate across many forums. The Commission represented a large number of people charged over the Macquarie Fields riots. Commission lawyers worked tirelessly to ensure the rights of clients were not over run by the media interest and to stay abreast of the changes in legislation that followed.

These riots (and those at Cronulla) led to sweeping changes to a number of pieces of legislation including the *Bail Act 1978; Crimes* 

Act 1900; and The Law Enforcement (Powers and Responsibilities) Act 2002.

Changes to the *Bail Act 1978* included amendments which increased the difficulty in obtaining bail in riot and related matters. Changes to the *Crimes Act 1900*, increased the maximum penalties prescribed for riot and related offences; and increased police powers to deal with future public disorders. The amendments made under *The Law Enforcement (Powers and Responsibilities) Act 2002* are under a sunset clause of two years and are to be monitored and reported on by the Ombudsman. The Commission will remain vigilant and report to the Ombudsman any issues or abuse of the powers we observe during our work to make sure the experiences of our clients under the amendments are voiced.



program commitments, and it appeared she had absconded. Legal Aid then represented her at a 'risk hearing' where the Office of the Director of Public Prosecutions made an application for her to be terminated from the program. Fortunately, she was allowed to remain on the program, and entered into full-time rehabilitation at Jarrah House, a facility used regularly for female participants on the program. She successfully completed the Jarrah House program, and then moved to Guthrie House.

K was able to successfully complete the Drug Court program, and graduated in July 2006. She committed no further offences during that time, remained drug-free, and had her children returned to her care.

#### Important legislation

New legislation had a direct impact on this division, leading to an increase in the number of clients we assist and services that we provide. The most significant legislative change was the Law Enforcement (Powers and Responsibilities) Act, 2002 (LEPRA) that commenced on 1 December 2005, consolidating police powers from a range of legislation: including powers of arrest, questioning, search and entry, search and seizure, and requirements for the issuing of warrants, search and seizure; and also extending police powers following the Cronulla riots.

Other legislation impacting on the Commission and our clients included the abolition of unanimous jury verdicts in criminal trials, changes to the way in which the Parole Authority operates, and the introduction of preventative detention legislation aimed at sex offenders who have completed their sentence but are still perceived to be a threat to the community.

Training was provided at the 2005 and 2006 Criminal Law Conferences to ensure that both Legal Aid and private practitioners are fully aware of the consequences of this legislation.

#### The year ahead

The division will focus on providing quality services to:

- clients in new and expanded courts in the Sydney Metropolitan area (Bankstown, Blacktown, Mt Druitt).
- clients of the Children's Court at the new Parramatta Court Precinct opening in February 2007.

#### Other priorities include:

- Working with the Aboriginal Legal Service (ALS) to provide assistance and legal aid to Aboriginal clients unable to be represented by the ALS.
- Improving services to and assignment rates for clients in custody facing defended hearings.
- Improving training in criminal law and practice standards for private practitioners in regional areas without a legal aid office, including the far west and north west.
- Working with clients and other agencies to ensure the effectiveness of case conferencing in reducing late pleas of guilty in the District Court.
- Providing greater support to private practitioners by increasing their access to legal aid's online resources.

#### **Key challenge**

To reduce the incidence of unrepresented litigants receiving custodial sentences and identify ways of assisting persons without representation to navigate their way through the criminal justice system.

# Community education

#### **Community legal education**

Staff at the Commission provide community legal education sessions (CLE) throughout the state. These sessions deliver information about legal aid services, the law and people's legal rights, often targeting people in isolated areas who cannot easily access free legal information or advice. This year, the number of CLE sessions more than doubled from 231 to 482, with a particular focus on Law Week activities.

We also distributed over 280,000 publications on legal topics to individuals, community and government organisations across the state.

#### Law Week: Lawyers meet the kids

During Law Week (27 – 31 March 2006), 70 enthusiastic legal aid lawyers volunteered to help launch a book for school children about the fundamental rules of law.

Why should I? A fun way to learn about the law targets 8-12 year olds and covers many aspects of our legal system that touch upon their everyday lives. Lawyers found an eager audience and fielded many questions about punishment, gaol, fair treatment and children's rights.

#### Other initiatives included:

- Providing information about family law at an inter-agency workshop on health, legal and related issues for Aboriginal women in the Brewarrina region
- Holding a will drafting clinic for a community group in the Central West as part of the Cooperative Legal Service Delivery partnership
- Conducting 100 advice clinics for veterans around NSW
- Providing legal advice and information to Aboriginal community workers and residents in western New South Wales, including Bourke, Brewarrina and Walgett. This initiative strengthened our relationship with these communities and enhanced access to Commission services in the region.



Law Week: Wollongong criminal lawyer Margaret Wall with students at Austinmer Primary School.

- Joining Combined Forums, a joint initiative of government agencies, to improve access to government services by Aboriginal communities across the state. Civil lawyers have attended forums at Newcastle, Coffs Harbour, Lismore, Nowra, Queanbeyan and Port Macquarie.
- Contributing widely to public discussion and information-sharing through presentations at training days, conferences, seminars and community events.

#### The year ahead

The Commission will focus its Community Legal Education Program on:

- Improving access to justice through the provision of information sessions about our services to community organisations and human services agencies.
- Collaborating with other agencies to develop a multimedia resource to complement school curricula.
- Delivering information sessions about mental health and the law in conjunction with government agencies involved in the legal framework within which care, control and treatment is provided to people in NSW with a mental illness.
- Educating people in emerging communities about the legal system.

## **Client service partnerships**

The Commission works closely with private lawyers, other legal service providers and community agencies to ensure real equity of access to the law, particularly for the disadvantaged people of New South Wales.

## Grants Division: building partnerships with private lawyers

The Commission's Grants Division works in partnership with private practitioners to provide representation to legally aided clients.

The division makes decisions on the granting of legal aid and allocates matters to inhouse and private practitioners. Matters conducted by private practitioners are referred to as assigned matters throughout this report.

In 2005–2006, private practitioners represented 45% of all legal aid clients.

This year the division received 28,226 applications for legal aid, comprising: 10,205 applications for legal aid in criminal law; 16,907 applications for legal aid in family law; 1,114 applications for legal aid in civil law.

Grants Division staff also administered 61,518 duty appearances conducted by private practitioners at courts in NSW.

This year, the division also entered a joint partnership with the Public Interest Advocacy Centre (PIAC) and Public Interest Clearing House (PILCH) to assist young people seeking compensation for wrongful arrest and/or malicious prosecution.

## Assigned cases: positive outcomes for clients

#### **Debts waived**

An intellectually disabled client had entered into various finance contracts to purchase cars over a year, resulting in large debts that he was unable to repay. He also entered into a finance contract with an interest rate of 34.95% pa. We granted legal aid for proceedings under consumer credit legislation and also made a complaint to the Australian Competition and Consumer Commission. Settlement negotiations were conducted through the Banking and Financial Services Ombudsman.

These negotiations resulted in Lender A waiving the remaining debt providing our client surrendered the car; Lender B wrote off the loan and reimbursed all payments made; and Lender C sold the car to our client's family and released its interest in it.

#### **Tenant keeps home**

Our client was a tenant living in property owned by the Roads and Traffic Authority. The RTA sought an order from the Consumer Trade and Tenancy Tribunal to terminate the tenancy claiming exemption under s5 of the *Landlord and Tenant (Amendment) Act 1948 (NSW)*. The main issue was whether the RTA was in fact exempt from the provisions of the *Act*, and whether the Tribunal had the jurisdiction to deal with the matter.

The High Court upheld our client's appeal and made an important decision that the RTA, as a NSW Government Agency, was not the "Crown" under the Landlord and Tenant (Amendment) Act 1948 (NSW) and therefore not exempt from its provisions. In doing so, the High Court overturned the long held case of Wynyard Investments and the Commissioner for Railways which had stood since 1955 as the authority that NSW Government agencies were the "Crown" and exempt from legislative provisions which exempted the "Crown". The tenant was able to remain in the premises.

#### **Regional service partnerships**

In June 2004, the Commission established an innovative pilot program in the Central and Far West and the Northern Rivers regions of NSW. The aim of the program was to achieve greater coordination and cooperation between providers of legal services to the socially and economically disadvantaged people and communities in regional NSW and a more seamless experience of the system for its users.

Called the Cooperative Legal Service Delivery Program, the initiative involves government, private and community legal and quasi-legal service providers in identified regions in country NSW and is based on an hypothesis that better coordination and cooperation in planning and delivering such services will enhance the efficient and effective use of scarce resources and improve access to justice for these communities.

# Community programs

The Program establishes legal service delivery regions based on factors such as agency catchment areas, location and accessibility of services, demographic profiles, transport considerations, and legal needs. It brings together key agencies operating in a specific region to form a regional coalition, which meets regularly to plan and coordinate the provision of legal services. The regional coalition identifies gaps and unnecessary duplications in services and develops service delivery priorities and new initiatives for the region. The model provides a framework to build a strategic and coordinated network of legal services at a regional level, and to enhance the community's access to these services.

An innovative aspect of the Program is the inclusion of pro bono legal services provided by large Sydney based private law firms in each of the regions. These firms receive and manage pro bono referrals that cannot be placed locally, and provide other resources to improve the region's capacity to provide legal services.

Following the initial pilot and evaluation, the Model continues to operate in the Central and Far West and Northern Rivers regions and was rolled out to two further regions: Albury/Wagga Wagga and the Hunter. The rollout will continue to a further two regions in 2006–2007.

The Commission administers two community programs—the Community Legal Centres Funding Program and the Women's Domestic Violence Court Assistance Program (WDVCAP). Their services complement those provided by the Commission. They bring valuable community links into our partnership, and place us in a stronger position to provide quality legal services to far more people across the state.

## **Community Legal Centres Funding Program**

Thirty-two centres provide a range of legal services to address the specific needs of disadvantaged sectors of the community. Five centres also provide Children's Court Assistance Scheme services, providing a roster of trained youth workers to work with young people and their families at court.

This year, the Program distributed \$5.061M in funding from the Commonwealth Government, \$4.141M from the State Government and \$1.191M from the Public Purpose Fund. For details see page 112.

#### **Major achievements**

The Inner City Legal Centre established a project on women's employment issues to increase awareness about women's employment rights in the workplace. This project is timely as the changes to industrial relations by the Commonwealth Government have increased client demand for legal advice about employment.

South West Sydney Legal Centre undertook a legal matter that resulted in the first successful Hague Convention application in Fiji. The Fijian proceedings were successful and the mother and her child are now both back living together in Australia. As a result, procedures have been put in place to facilitate future Hague Convention applications.

Redfern Legal Centre produced a Legal Education Kit on the redevelopment of the Redfern/ Waterloo area. A copy of this kit can be obtained from the Redfern Legal Centre's web site at www.rlc.org.au



The Shoalcoast Community Legal Centre published *The Children's Court, DoCS*, My *Child and Me* providing plain English information on laws and procedures for parents.

#### The year ahead

The Commission will implement the recommendations of the Joint Review of the NSW Community Legal Centre Program.

The Children's Court Assistance Scheme Program will be extended to Cobham and Bidura Children's Courts.

## Women's Domestic Violence Court Assistance Program (WDVCAP)

This Program currently funds 33 Women's Domestic Violence Court Assistance Schemes (WDVCASs) across NSW, servicing 55 local courts. The Program assists women and children to obtain legal protection from domestic violence from the courts through an integrated system of legal representation, specialised support and advocacy and information and referrals to appropriate services for their other needs.

This year the Program received \$3.829M in State Government funding, which is an increase of \$600,000 on 2004–2005. See page 113 for details.

#### **Major achievements**

This year, 33,915 services were delivered under the Program including 2,352 services to Aboriginal women and 4,490 services to women from culturally and linguistically diverse backgrounds. A major review of the Program's finance and administration was completed and a new database created to provide vastly improved Program wide and Scheme specific performance information.

The brochure Helping you go to court to get an Apprehended Violence Order was translated into 15 community languages and launched during the week of International Women's Day by Member for Marrickville and Minister for Education and Training, the Hon. Carmel Tebbutt (see photo below).

The Program focused on developing an integrated and consistent response to the provision of assistance with other justice and social system partners, including working with the Apprehended Violence Orders Legal Issues Coordinating Committee (AVLICC) to identify the reasons behind ADVO withdrawal rates and provide suggestions for improved ways of working with our justice system service partners.

The WDVCAP annual conference celebrated 10 years since the Program's inception. Attended by 155 participants, it provided an opportunity to review past achievements and to look ahead to future challenges.



Minister for Education and Training, the Hon. Carmel Tebbutt, launches the newly translated brochure Helping you go to court to get an ADVO.

Left to right are: Carrie Chan (A/Director, Domestic and Family Violence Clearing House), Steve O'Connor (Deputy CEO Legal, Legal Aid Commission), Carmel Tebbutt MP, Toni Brown (DV Worker, Newtown WDVCAS).

#### The year ahead

The Domestic Violence Solicitor Scheme (DVSS) will be reviewed, focusing on the quality and level of legal representation provided to clients.

The WDVCAP annual conference will aim to find new ways for justice system agencies to work together in order to provide seamless legal/social welfare services to women experiencing domestic violence.

An online resource and information tool and best practice database will be developed for schemes funded under the Program.

#### Client assessment and referral

Many of the positive outcomes for our clients were achieved through lawyers working in close partnership with social workers in our Client Assessment and Referral Unit. This year the unit assisted 571 clients by providing socio-legal assessments, telephone advice and advocacy, including securing 42 discharges for clients with intellectual disabilities and assisting them to avoid custodial sentences.

## Client assessment highlight case: taking a holistic approach

#### Background report helps magistrate decide

This case is an example of how legal aid lawyers and social workers work together to get the best results for their clients.

Our client was a young single pregnant woman about to give birth to her first child. She was charged with five counts of 'obtaining money/ valuable things/ financial advantage, by deception'. She had been arrested for fraudulently processing refunds into her own account when customers returned goods to shops where she was employed.

Legal Aid conducted a social work assessment, an important part of the legal defence as it showed the context in which Ms D committed the frauds.

Ms D was raped by a stranger when she was aged 13. Her family did not offer the support she required after such a traumatic event and Ms D felt betrayed. Her parents appeared to blame her for what had happened and in the end publicly denied the rape had ever taken place. Ms D's behaviour changed dramatically after this and she 'acted out' at school for a number of years. However, she turned

things around in years 11 and 12, finishing her studies and gaining entry to a university.

Over time, she became disillusioned with her studies and decided to pursue a different career path. She became estranged from her family who did not support the direction her life was taking. Ms D began working in retail but her new boyfriend was unemployed for a long stretch of time. She felt she was carrying the financial burden, however whenever she raised this, it caused conflict and at times led to physical violence on his part.

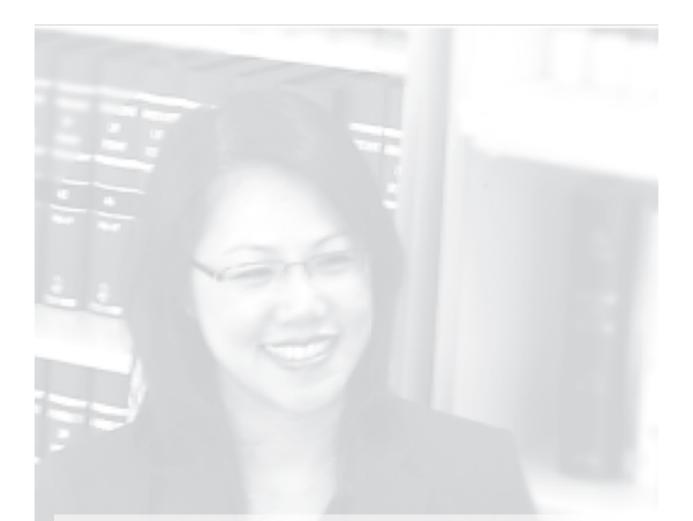
Ms D found out about her pregnancy when she was well over 12 weeks advanced. Her boyfriend moved out shortly after he was told about it.

The magistrate found all the offences were proven but did not proceed to enter convictions on the basis that Ms D enter a two-year good behaviour bond conditional on her remaining on good behaviour and repaying the money.

The magistrate expressly thanked the Client Assessment and Referral Unit for its 'excellent report' and all such reports the court received, saying they were of a very high standard and greatly assisted the court in making its decisions.



## People



Highly qualified lawyers team up with efficient and customerfriendly support staff to deliver high quality legal services across the State. Our skilled managers and business services staff ensure the Commission operates at its most effective. The Commission aims to be a professionally and personally rewarding place to work for its people. This year, we supported our staff to be highly skilled, responsive, innovative and flexible team members through a range of new training and self-development initiatives.

### People

The Commission recognises that it is dependent upon the skills and commitment of its people in its efforts to achieve results for the community. The Commission worked towards providing a stimulating, challenging and rewarding workplace in which our people learn and develop in a team environment and are valued for their contribution.

The Legal Aid Commission of NSW is a statutory body established under the *Legal Aid Commission Act* 1979.

The Office of the Legal Aid Commission and the Legal Aid Commission Temporary Staff Division are Divisions of the NSW public sector established under the *Public Sector Employment and Management Act 2002* to provide personnel services to the Legal Aid Commission of NSW.

#### Staff numbers

As at June 2006, the Commission employed 767 staff in the equivalent of 709 full-time positions with 305 positions located in regional offices and the balance in the Sydney CBD office.

No staff were employed by the Legal Aid Commission Temporary Staff Division.

This reporting period saw a slight increase in the number of legal officers located in regional offices (up by 22, which is approximately 63% of new staff during this period). Full details are provided on page 116.

#### Staff numbers in five years

Year	FTE <sup>*</sup> figures financial year end <sup>**</sup>	Actual staff number financial year end
2006	709.54	767
2005	675.86	730
2004	669.94	721
2003	634.15	683
2002	565.72	612

<sup>\*</sup>FTE: full time equivalent

#### Staff movements 2005-2006

New permanent staff	31
Staff departures	45
Staff promotions	19

#### **Major achievements**

#### **Graduate recruitment program**

A new career development program for new lawyers and recent graduates was developed. The program will run for two years and consist of two practice rotations from our criminal, family and civil law divisions. It will involve structured training, supervision and mentoring of participants. There are four positions available in the first intake, commencing 12 February 2007.

#### Improved induction processes

Induction is a key process for the Commission. To ensure new starters are given all the tools they need to work effectively, a number of enhancements have been undertaken. They include:

- A new intranet folder of induction related material (including guidelines/forms) has been created.
- The comprehensive Managers Induction Guide and Staff Induction Guide were reviewed and updated.
- A new Induction Framework document —which included Training and Development options for specific job tasks, was developed and distributed to senior managers for comment. The framework will be implemented by 30 September 2006.

#### Legal support officer plan

An Action Plan was developed to identify and address the needs of Legal Support staff. The plan, which will be produced annually, outlines the actions that will be taken to improve work processes and provide better career paths for administrative staff.

#### Voluntary job rotation

Voluntary job rotation has been implemented in all practice areas to provide our legal staff with diversity and an improved career path within the Commission.

<sup>\*\*</sup> Page 116 for more details

Our business priority this year was to support our staff to be highly skilled, responsive, innovative and flexible team members.

#### **Resourcing our managers**

In line with Human Resource's primary role of providing advice and support to managers in managing staff related issues, two key resource packages were developed. The Managers Toolkit contains information for managers in relation to a range of HR management issues. The Toolkit is updated regularly as new information arises and policies are developed.

HR also expanded its highly successful Management Matters training program by developing and implementing the HR Matters for Managers module, designed to provide managers with an overview of the key issues they face in managing staff within the Commission and within the public sector policy and procedural framework.

Complementing this package, Finance Matters for Managers and Budget Essentials were also provided as well as a Manager's Safety Leadership Course, aimed at developing the occupational health and safety skills of supervisors and managers, and to comply with their legislative responsibilities. Work also began on Planning Matters for Managers.

#### **Key learning and development activities**

Staff participation in learning and development activities was significant in 2005–2006 with a diverse range of learning activities offered internally or attended externally. There were over 1,600 employee attendances at over 200 inhouse training sessions. This was in addition to 19 seminars and conferences organised by the Legal Services Division with almost 1,000 staff attendances. See page 114 for details.

## Management training enrolments reached a new high

Enrolments for the Diploma of Business (Frontline Management), now in its third year, reached 100 in 2005–2006, with 70 staff having graduated by 30 June 2006. The program will expand to include selected community legal centre managers in Group 8 commencing July 2006. The diploma forms a central part of an extensive management development framework implemented at Legal Aid.

#### Lawyers developed their advocacy skills

In partnership with the Australian Advocacy Institute, we delivered a comprehensive advocacy training program for 40 lawyers from across the Commission. Feedback from the workshop was excellent and it will run as a regular session. We will also schedule additional sessions focusing on specific advocacy skills areas in the year ahead.

#### **Mentoring program**

We implemented the Pilot Open Mentoring Program with eleven mentor/mentee pairs participating. In the review of the pilot, mentees noted benefits such as improved confidence and as well as development of knowledge and skills. They found the program encouraged them to take initiative and raised their level of job satisfaction. Mentors felt the program increased their motivation and led to improvements in how they do their work. The program will continue in 2006–2007.

#### More accredited specialists

The Specialist Accreditation Program has seen over 100 lawyers within the Commission gain specialist accreditation: 75 in criminal law, 24 in family law; four in children's law; two in advocacy and one in personal injury. The Commission has identified the number of our lawyers possessing specialist accreditation to be a key indicator of the quality of the legal services we provide.

The Law Society of NSW Specialist Accreditation program identifies registers and monitors lawyers specialising in 13 areas of law. Each accredited specialist lawyer must have at least five years full time practice with at least three years speciality work, and pass rigorous examinations in law, communications, problem solving and client services.

In 2005–2006, the Commission significantly increased the number of its lawyers holding specialist accreditation from 68 lawyers to 106.

#### Other initiatives included:

 Opening a new training centre in our Sydney CBD office in December 2005 with two fully equipped training rooms plus a 'break out room' for small group work. The facilities have been in

### People

- constant use this year and have been praised by staff and visitors alike.
- Developing closer links with community legal centres (CLCs) by involvement in, and hosting of, combined Community Legal Centre Training Network meetings; hosting and facilitating a CLC planning workshop in addition to accepting three CLC managers into Group 8 of the Diploma of Business (Frontline Management).

#### **Conferences and staff development**

Legal Aid conferences were once again well attended with 957 people attending six major law conferences this year, including 463 external people.

Senior managers from across the Commission were accepted into the Premier's Department sponsored executive development programs, including the Executive Masters of Public Administration and the Graduate Diploma in Public Administration. This year, one staff member completed the course and two more enrolled, bringing the total number of sponsored places to six over the past three years.

Commission staff attended external programs in a wide range of legal/professional, IT and management skills areas.

- 368 staff attended 172 external programs, conferences or seminars
- 59 applications for study leave were received and processed during the year with fees assistance through the Further Education Fees Assistance Program (FEFAP) going to 25 of these.

## **Equal Employment Opportunity (EEO)** achievements

One of Human Resource's key objectives is to promote equal opportunity in employment. Initiatives included:

- An Aboriginal Planning and Development
   Officer was employed to develop service delivery
   guidelines for staff working with Aboriginal
   clients.
- Our Dubbo office employed an Aboriginal/Torres Strait Islander trainee as a Legal Support Officer and in Newcastle, another new trainee started,

- becoming the eleventh young Aboriginal person in the Commission's ATSI Traineeship Program.
- Regular Aboriginal Awareness training sessions were held for staff members who are required to interact with Aboriginal people.
- We developed a Graduate Recruitment Program which will provide a placement for Aboriginal and Torres Strait Islander people.
- Providing specialised training for staff in their work with specific client groups. Topics included Demystifying Mental Illness and Working with Moslem Clients.
- Ongoing Selection Techniques and Selection Techniques refresher courses to ensure our recruitment processes are fair and equitable.
- Finalised and implemented the Preventing Bullying in the Workplace Policy.
- Reviewed the Resolving Grievances and Disputes Policy.

#### Occupational health and safety (OH&S)

The OH&S Committee met regularly to review OH&S issues in the Commission and oversee the rollout of the risk management system (see page 39) involving all organisational employees.

The Safety Leadership Course was provided to develop the OH&S skills of supervisors and managers.

All OH&S information was centralised on our intranet site, including a Q & A with information about minimum standards and committee operations and membership.

#### **Worker's compensation**

The cost incurred to 30 June 2006 of new claims reported in 2005–2006 was \$139,026 compared to \$123,031 in 2004-2005, an increase of \$15,995.

The number of accepted claims decreased from 32 in 2004-2005 to 26 in 2005–2006.

There were two mental stress claims in 2005–2006, however, both were ultimately declined after a period of provisional liability. The declined claims amounted to approximately \$30,545 or 22 per cent of the total cost of claims for 2005–2006. The mental stress claims for 2005–2006 are a marked decrease

from the seven mental stress claims recorded in 2004-2005 (three of which were also declined in that year).

There were eight fall/slip and nine body stress claims amounting to \$100,416 in 2005–2006 or 72 per cent of the total cost of claims. The number of claims is equal to the 17 fall/slip and body stress claims in 2004-2005, however, there has been an increase in the cost of these claims which amounted to only \$23,586 in the previous financial year.

The number of full time equivalent (FTE) staff (on average) for this financial year was 709.54, an increase of 33.68 from 675.86 in 2004–2005. This equates to an average claim cost of \$195.94 per staff member compared to \$182.03 per staff member in 2004–2005.

The decrease in claims and increase in associated costs during the period does not exhibit any identifiable pattern, i.e. It occurs over a range of categories and/or locations. Because there are no specific areas which can be identified for targeted action, the Commission will continue to actively monitor all claims. In addition, we will pursue the established risk management approach to health and safety within the Commission with a view to identifying any issues of concern before they can result in injuries/incidents and claims.

#### Worker's compensation claims

Type of claims	No. of claims 2004–2005	No. of claims 2005–2006
Workplace	20	13*
On duty not at office	2	10
Journey	9	4
Recesses – lunchtime		
& authorised breaks	3	1
Total claims accepted	d 32	26
Claims declined	3	2*

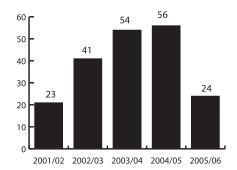
<sup>\*</sup> Of the 13 claims that related to injuries that occurred in the workplace, two were declined.

## Record of work related incidents resulting in injury

Type of injury/ accident	No. of reports 2004–2005	No. of reports 2005–2006
Workplace	25	11
On duty not at office	7	5
Journey	15	7
Recesses – lunchtime		
& authorised breaks	9	1
Total injuries/incide	nts 56	24

Note: The total number of reported incidents resulting in injury has decreased significantly since the last financial year as the figures for 2004–2005 included both Notifications of Injury and Worker's Compensation Claims.

## Total injuries/incidents within Commission staff 2001–2006



#### **Employee relations**

Human Resources staff assisted the Criminal Division implement the Criminal Case Processing Reforms (page 41) by establishing key positions in the newly established Committals Practice including the development of Legal Support Officer, Committals positions.

A review of the positions of Solicitors-in-Charge (senior lawyers managing our regional offices), commenced, as a means of developing a clearer understanding of their responsibilities.

Commission staff were members of committees that provided a forum for important issues. These included the Peak Consultative Committee, the Human Resources Sub- Committee, the



Occupational Health and Safety Committee, the Access and Equity Committee, the Training and Development Steering Committee and the Social Committee (Details on page 123).

#### **Employee-related policies**

We developed a new remote access policy for staff accessing our computer network whilst out of the office, as well as reviewed and updated our Travel Guidelines and Procedures, recruitment procedures and induction guidelines.

#### Milestones in 2005-2006

#### **Recognising staff loyalty**

Legal Aid employees display a long-standing commitment to the goals and ideals of our organisation. Fifty members of staff have now undertaken 20 years of service to Legal Aid and our clients. This year, the CEO formally acknowledged the contribution of 14 dedicated employees who had recently reached this personal milestone. They were presented with special certificates at a ceremony coinciding with the Commission's annual Legal Services Conference held in May 2006.

#### Coffs Harbour celebrates 10th birthday

In April 2006 our Coffs Harbour office celebrated its 10th birthday (see photo above). The office has provided legal advice and representation to more than 31,000 clients in criminal, civil and family law matters, including phone advice to people in rural and remote areas. Most of the staff who joined the office when it opened its doors, still work there, a testament to their dedication to clients and the fulfilment they get from their work.

Left: Coffs Harbour's birthday celebrations—10 years of service to over 31,000 clients. Photo courtesy of **The Coffs Harbour Advocate.** 

#### The year ahead

The Commission will strengthen its organisational capacity by further developing the skills and talents of its staff. We will achieve this by:

- Implementing the OH&S risk management system across the Commission.
- Providing access to Stay Healthy information for staff targeting particular issues such as health and fitness.
- Reviewing the staff development framework and all staff training programs.
- Implementing the Graduate Recruitment Program.
- Further implementing the Performance Planning and Development Program across the Commission.
- Conducting a second Open Mentoring Program
- Offering the Australian Institute of Advocacy workshop to a greater number of lawyers.

#### **Key challenge**

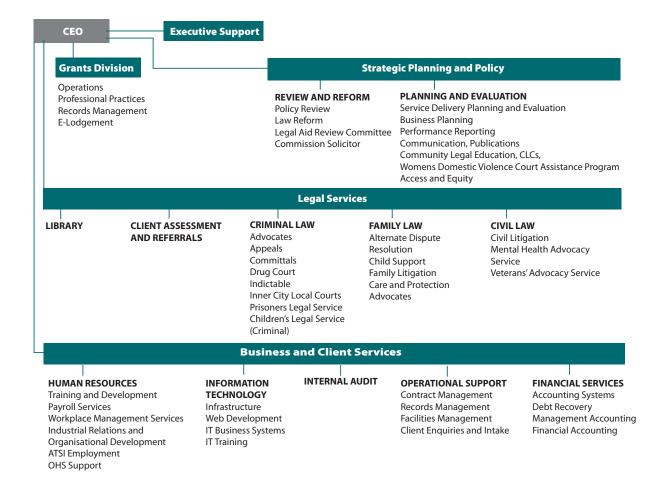
Continue to develop the advocacy skills of our lawyers; bring the Graduate Recruitment Program to fruition; and review office support organisational roles and structures across all work locations by 30 June 2007.



## **Organisation**



## **Organisational structure**



#### The Board of the Legal Aid Commission

A Board with 10 Members establishes the Commission's broad policies and determines its strategic priorities.

There are nine part-time Board Members including the Chairman, and one full-time Member, who is the Commission's Chief Executive Officer. The part-time Board Members are appointed by the NSW Attorney General for a maximum of three years.

#### **Meetings of the Board**

The Board meets monthly, unless a special meeting is convened. There were eleven meetings in 2005–2006.

#### **Board Members' fees**

Part-time Board Members are entitled to be paid fees for their work in attending meetings, reading background papers, sitting on committees and representing the Commission at meetings with other organizations. The rates of remuneration are \$3,120 per annum (increasing to \$3,370 p.a. from November 2005) for Members and \$26,000 per annum (increasing to \$28,080 p.a. from November 2005) for the Chairperson of the Board. From November 2005, the Chairperson of the Audit and Finance Committee is also paid an additional \$2,527 p.a. for chairing this Committee. No fees are paid to Members who are salaried government employees or who elect to forego payment.

#### **Committees**

The Board is advised on specific matters by a number of committees. These include the Audit and Finance Committee, which advises on budgetary and internal audit matters, and committees for each area of law. Details of these committees are included on pages 121–124.

# **Board membership**

# As at 1 July 2005 to 30 June 2006



**Mr Bill Grant LLB** Appointed by the Attorney General as Chief Executive Officer (see pages 36 and 58 for more information).\*\*



Mr Phillip Taylor BA LLB, Solicitor Appointed by the Attorney General as Chairperson.

Phillip practises in the area of banking and finance as a consultant at Freehills, where he was a partner for many years as well as Chair of the Freehills pro bono 10\* committee



**Rev Harry Herbert BA BD** STM Dip.Legal Studies,

Harry is Executive Director of UnitingCare NSW, ACT, representing consumer and community interest. He also chairs the Responsible Gaming Fund and the NSW Social Justice Reference Group. He is the longest serving member of the Board.



Mr Simon Moran BA LLB, Solicitor

Simon is Principal Solicitor of the Public Interest Advocacy Centre and Public Interest Law Clearing House. He is also a Board Member of several community legal centre groups.



Mr Geoff Lindsay BEC LLB(ANU) SC, Barrister Nominated by the NSW Bar Association. Geoff practises principally in the equity and commercial jurisdictions. He is involved in legal 10\* publishing.



Solicitor, LAC NSW Nominated by the Labor Council of NSW. A solicitor for almost 40 years, Jack was in private practice before joining the Commission's Prisoners Legal Service in 1991. 11\*



Ms Linda Webb OAM (B.A., **University of Canberra**) **FAIM MAICD** 

Linda is a consultant and serves on several government boards. Her areas of expertise are governance, community services and procurement. In 2001, Linda was awarded a medal as a Member of the Order of Australia for services to public administration and to social policy.

### **ABSENT**

# Mr Mark Richardson BA, LLB(ANU), LLM(Syd)

Nominated by the Law Society of NSW (Resigned 04/06). Mark served as Director of the Commission 1989-1992. He is a member of several boards. 4

Alternate: Ms Pauline Wright BA LLB 2\*

### Mr Philip Bickerstaff, MCom

(Commenced 08/05). Phil retired from the NSW Public Sector in Feburary 2005, after 37 years, 29 of those with the NSW Treasury, and 16 years as Branch Director. Phil was appointed to the Board in August 2005 and chairs the Board's Audit and Finance Sub-Committee. 10

# Ms Anne Britton, BA LLB.

Anne is a judicial member of the Administrative Decisions Tribunal and and Related Employees Appeal Tribunal. She has extensive experience in industrial relations and arts and media law.

<sup>\*</sup>Meetings attended in 2005-2006

<sup>\*</sup>Attended 11 meetings, including one as Chair.

# Senior directors

# Bill Grant, LLB, Chief Executive Officer (SES Level 6) Budget Total: \$156.0 million Staff: (EFT) 709.54 Total Actual: 767

Bill was appointed as Chief Executive Officer on 26 November 2001. He was previously Deputy Director General of the NSW Attorney General's Department for 10 years. On 12 June, 2006, Bill was awarded the Medal of the Order of Australia for services to the community and to the law, through the NSW Legal Aid Commission. In 2005–2006, Bill focused on building collaborative service partnerships across the justice sector, particularly in the areas of Aboriginal and rural client services.

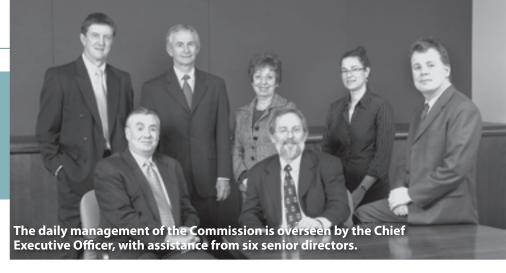
# Steve O'Connor Dip. Law (BAB), Dip. Crim, Deputy CEO Legal (SES Level 4) Budget Total: \$60.1 million Staff: (EFT) 277.67 Total Actual: 312

Steve was appointed in September 2003. Previously, he was the Solicitor for Public Prosecutions in the NSW Office of the Director of Public Prosecutions (DPP). In 1999 Steve was appointed an acting Magistrate. Steve is responsible for the statewide practices of the Criminal, Family and Civil Law Divisions. This year, his many achievements include a significant contribution to criminal case conferencing reforms in the justice system.

# Russell Cox BComm, Deputy CEO Business and Client Services (SES Level 4) Budget Total: \$12.6 million Staff: (EFT) 78.94 Total Actual: 81

Russell has responsibility for the provision of Corporate Services to the Commission and was appointed as the Commission's Deputy CEO, Business and Client Services on 1 September 2003. Russell's prior experience includes senior corporate service appointments in both the public and private sectors.

During the year Russell has overseen the continued improvement of the Business and Client Services Division to enhance services, reduce costs and improve productivity in support of the achievement of the Commission's business objectives.



# Richard Funston BComm. LLB Accredited Criminal Law Specialist Director, Grants (SES Level 2) Budget Total: \$63.8 million Staff: (EFT) 84.74 Total Actual: 91

Richard recently completed the Executive Masters of Public Administration with the Australian and New Zealand School of Government. Richard's earlier experience includes eight years with Legal Aid in Victoria and four years as Principal Solicitor of the Inner City Legal Centre in NSW. During his nine years with the Legal Aid Commission of NSW, Richard held leadership roles in the Children's Legal Service and the Family and Civil Law Division before being appointed Director Grants in October 2002. Richard is pleased that Grants have been able to expand the roll-out of E-lodgement in Crime, and that the audit team of the Professional Practices Branch has played a positive, educative role with private practitioners.

# Brian Sandland BComm. LLB, Director, Criminal Law (SES Level 2) Budget Total: \$31.5 million Staff: (EFT) 133.6 Total Actual: 141

Brian has 24 years of experience with the Commission. He held senior management roles in Burwood and head office before being appointed to head this division in August 2003. In 2006 Brian was awarded the Public Service Medal for his contribution to the community through his work for the Legal Aid Commission.

This year, Brian focused on the reallocation of resources to deal with the new courts in the western suburbs, planning for the Parramatta Justice Precinct and strengthening service delivery through comprehensive file audits and reviews.

# Judith Walker BA. Dip Ed. MA (Hons). LLB Accredited Family Law Specialist Director, Family Law, (SES Level 2) Budget Total: \$16.2 million

Staff: (EFT) 58.89 Total Actual: 62

Judith's vast experience includes working in the Department of Government and Public Administration at the University of Sydney, as a lawyer in private practice, then with the NSW Law Reform Commission and from 1987 with the Commission. Judith was Senior Solicitor in the Family Litigation Section and Acting Director, Family Law before being permanently appointed in July 2004. Judith's main achievement this year was to ensure that the family law practice would be well equipped to represent clients under the major family law changes that took place on I July 2006. Other achievements were the introduction of dispute resolution in property matters and the implementation of minor assistance in family law and child support.

# Stella Sykiotis BComm. LLB, Director Civil Law (Senior Officer Grade 1) Budget Total: \$10.1 million Staff: (EFT) 53.3 Total Actual: 56

Stella has worked as a community lawyer since 1988 with a particular focus on consumer protection law. She began work at the Commission in the Civil Law Program in 1996. Stella was appointed to her current position of Director, Civil Law in November 2003. This year, her comprehensive client service strategy included expanding services to Aboriginal communities, women in prison, people in immigration detention, and people living in isolated areas of New South Wales. Stella's main achievements this year included creating a new Coronial Inquest Unit, expanding civil law policies in relation to public interest human rights matters and reviewing the Mental Health Advocacy Service.



# **Corporate governance**

# **Our Corporate Governance framework**

The Commission is established and operates in accordance with the *Legal Aid Commission Act 1979* to provide legal aid and other services in accordance with the Act. Our purpose is to ensure that legal aid is provided in the most effective, efficient and economical manner; and that legal aid is readily available and easily accessible to disadvantaged persons throughout New South Wales,

The Commission's corporate governance framework ensures that the Commission operates in accordance with its legislative objectives and honours its commitment to the community as expressed in our Vision and Mission statements.

The Commission's work is guided by a set of values which express the Commission's commitment to enuring that all member of the community have access to high quality professional legal services.

The Commission reports to the NSW Attorney General, the Honourable Bob Debus, MP. An independent Board oversees the Commission's general management and long-term strategic direction (see page 35 for members and their curriculum vitae).

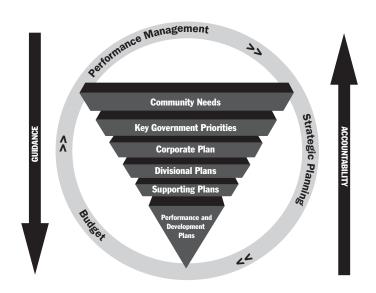
The day-to-day management of the Commission is overseen by the Chief Executive Officer with the assistance of the Executive. The Executive (members are listed on page 36) met fortnightly to discuss and decide upon Commission policy direction and organisational management.

# **Corporate planning**

The Commission's corporate planning framework guides the Commission in planning the delivery of its services, allocating resources and reporting and evaluating performance across all levels of the organisation.

The Framework integrates the following planning activities:

- 1. Results and Service Plan
- 2. Corporate Plan
- 3. Business Plans
- 4. Operational Support Plans
- 5. Performance Planning and Development Plans (Team and Individual)



The Corporate Planning Framework

The framework provides direction and guidance for work undertaken by divisions and individual officers and operational accountability across the Commission through structured and regular business and management information reports. The framework guides all the Commission's work and the results we want to achieve for the community.

### **Code of Conduct**

The Commission has a *Code of Conduct* that reflects its organisational and government values, vision and mission. The code sets out the standards and guidelines for our staff to follow in relation to ethical decision making, protecting confidential information and dealing professionally and fairly with clients. The code was not amended in this reporting period.

## **Delegations**

The Commission used its Delegations Authority to manage and control expenditure and to ensure that financial and operational decisions are made by senior relevant officers. This year we reviewed and updated our Delegations Authority to reflect changes to the Commission's structure and policies governing our work processes.

# **Measuring performance**

In 2005, the Commission developed a new set of performance indicators to help the Commission meet its objectives and achieve results for the community. The indicators are grouped into two results areas:

- Increased community awareness of legal rights and responsibilities: by providing community access to accurate, timely and helpful legal information and advice.
- Ensuring the courts operate efficiently and effectively: by providing access to skilled legal representatives who can achieve appropriate court outcomes for clients by conducting court cases in accordance with the law and best practice.

Some of the new measures involve the analysis of data previously not collected by the Commission. The Commission will be using trend analysis over the next two to three years to establish benchmarks and estimates for future results indicators.

Focus area—KPI	Measures	04-05 Result	05-06 Target	05-06 Result*
Community awareness of leg	al rights and responsibilities			
Advice provided is accurate, timely and helpful	% of clients satisfied with quality of advice provided by Commission staff (bi-annual survey)	N/A	70%	86-92%
Community has access to information and advice	Average waiting time for advice appt (wks)	1.1	1.1	1.1
information and advice	No. of community accessing information	157,968	217,699	242,011
	Per 100,000 of community	(2,332)		(3,534)
	No. of community accessing advice services	74,481	75,853	80,052
	Per 100,000 of community	(1,099)		(1,169)
	No. of community accessing publications	302,067	302,000	289,778
	Per 100,000 of community	(4,459)		(4,230)
	No. of community accessing community legal education sessions	5,892	5,900	9,328
	Per 100,000 of community	(87)		(136)
	No. of internet pages accessed by the community	N/A	1,900,000	2,259,901
Accessibility to Legal Aid				
<ul> <li>Socially and economically disadvantaged people</li> </ul>	Means test income limit as a % of national minimum weekly wage (1995 base)	40.7%	44.6%	44.6%
receive appropriate legal	% of Local Court sittings serviced by duty solicitor schemes	100%	100%	100%
Representation service stand	lards			
Representation services     are conducted according     to law and best practice	% of satisfactory comprehensive inhouse file reviews	N/A	85%	99.3%
	No. of Commission lawyers with specialist accreditation	85	75	106
	No. of Commission lawyers attending inhouse and MCLE** training sessions	1,405	1,450	1,652
*On or above target 💌 📉	**MCLE: Mandatory Continuing Legal Education			

# **Accountability**

# **Accountability**

The Board, Chief Executive Officer, senior management and staff have established a control environment that provides reasonable assurance that the objectives of the Commission will be achieved. The internal audit function assesses the adequacy of the control environment on a risk management basis.

## In 2005-2006 we:

- Developed a three-year Internal Audit Program.
- Developed and implemented an Annual Audit Plan
- Updated the Commission's Risk Assessment.
- Updated the Internal Audit Charter.
   Internal Audits carried out during 2005–2006:
  - Purchasing and Accounts Payable
  - Applications for Legal Aid (civil and family law)
  - Private Practitioner Management (criminal, family and civil law)
  - General Computer Controls
  - Occupational Health and Safety
  - Revenue/Debtor Management, Accounts Receivable
  - Compliance with the Legal Aid Commission Act 1979.

# Risk management and internal controls

The Commission regularly conducts a risk assessment of its activities covering both strategic and operational risks. The prime objective of the

process is to identify key operational risks facing the Commission and also to identify what controls have been established to manage and monitor the key risks identified. The current risk assessment was prepared in 2005.

The risk assessment is used to prepare the three-year audit plan and each year an annual audit plan is prepared based upon the three-year plan. Each annual audit plan also includes provision for additional audits should circumstances change and further audits are required. The preparation of the risk assessment is based upon a methodology recognising inherent risk and control effectiveness.

The Commission's internal auditors are Deloitte Touche Tohmatsu. Each audit has a stated objective and scope of activity. All recommendations to improve controls require a management response on what action will be taken and a date by when action will be complete. The internal audit function is overseen by the Board Audit and Finance Committee. The committee met 11 times last year to advise the Board on financial reporting practices, business policies and management and internal controls. Its Terms of Reference were recently updated in recognition of the recommendations of the Public Accounts Committee's Report on the Review of Operations of Audit Committees. (More details about the Board, its role and membership are on page 121).



Legal Aid Review Committee Appeals and Outcomes 2005 – 2006									
Program Area	Allowed	Disallowed	Pending	Withdrawn	Total Appeals	Appeals Allowed (%)			
Civil Law	7	114	11	3	135	5.19			
Criminal Law	58	192	6	3	259	22.39			
Family Law	72	370	26	2	470	15.32			
Total	137	676	43	8	864	15.86			

# **Complaints handling**

Receiving and responding to complaints in a professional, fair and timely manner is an essential component of the Commission's mission to provide high quality services.

In June 2005, the Commission issued new complaints handling procedures. The new guidelines are designed to:

- help resolve customer dissatisfaction about the service they receive or believe they should receive by providing clear guidance to staff on how to receive and resolve complaints; and
- assist the Commission to identify problems and change procedures to prevent similar dissatisfaction and complaints in the future.

The new complaints handling procedures are supported by a new brochure outlining a simple process for lodging complaints with the Commission.

The Commission has also established a complaints register to gather and analyse information about the number and nature of complaints in order to better understand our clients' expectations about the services we deliver. The new processes will allow the Commission to report annually on the number and nature of complaints received.

This year, we received complaints from the Ombudsman's Office (4), the Independent Commission Against Corruption (1), the Office of the Legal Services Commissioner (1) and the Law Society of NSW (1).

# **Legal Aid Review Committees**

Five Legal Aid Review Committees determine appeals that relate to legal aid applications and grants of legal aid. They consider:

- appeals against refusals of legal aid
- conditions imposed on grants of legal aid
- · variations and terminations of grants
- decisions to decline payment of costs ordered against legally assisted clients.

This year, our review committees allowed appeals in 137 of 864 submitted matters (see table above). Legal Aid Review Committee membership and number of meetings are on page 121.



# Systems and processes

Divisions improved their systems and processes so they could work more effectively and make sound business decisions.

# **Major achievements**

### File review and audits

The Commission introduced file review and audit systems across all its legal program areas and for private practitioners as a means of ensuring that our policies and procedures are equitably and consistently applied. The file reviews and audits particularly focused on ensuring applications for legal aid were determined in accordance with the Commission's eligibility tests and on accurate record keeping.

The file reviews conducted for inhouse lawyers this year showed a 99.3% satisfactory compliance rate.

An audit priority strategy was developed for private practitioners who are members of the Commission's various practitioner panels. Under this strategy, 46 selected practitioners on the Care and Protection, Veterans' Law, and Independent Children's Lawyers panels have been audited.

# Policies and procedures

A plain English rewrite of Commission policies commenced last year, and will be finalised in early 2007. A review of Alternative Dispute Resolution policies will ensure that the Commission is well positioned to deliver high quality services alongside the Family Relationship Centres that are being established by the Commonwealth Government.

# **Grants management system**

A model was developed setting out how the Grants process will operate in the future when a new Grants Management system is implemented. It was determined that it was feasible to introduce some automated processing of applications and invoices in the new system.

# **Best practice service reviews**

The Commission continues to work towards improving the quality, range, responsiveness and accessibility of our services. During the year a number of high level reviews of service areas were commenced with a view towards better aligning the Commission's services to new and emerging needs within particular existing client groups.

# Mental Health Advocacy Service

The Commission undertook a review of the Mental Health Advocacy Service to improve the service's ability to meet current and projected client service needs. After approval by the Board, the recommendations will be implemented.

# Best practice reviews commenced (for completion in 2006-2007):

### Care and Protection Service

Our Children's Care And Protection Service is being reviewed to position the Commission to meet expected significant increases in court cases in this area. The review will also consider more effective ways of providing services in this area.

## **Prisoners Legal Service**

We commenced a review of the Prisoners Legal Service to establish what legal needs are being met amongst the most disadvantaged within the prison population, and to identify future directions for the service.

## Legal Aid Review Committee

Five Legal Aid Review Committees (LARC) determine appeals from clients about their applications and grants of legal aid. It is very important that clients undertaking this step should find the process easy and efficient. We commenced a review of LARC to improve and simplify our processes.

# Legal practices-improvements made

# **Case conferencing reforms**

The Commission partnered with other criminal justice agencies to introduce the NSW Government's Case Conferencing reforms to the management of legally aided indictable state law matters listed in the Local Court from 1 January 2006. The reforms aim to reduce the number of committals for trial, late pleas of guilty and trials listed before the District Court.

The reforms provide for the prosecution to provide all relevant information in relation to an indictable offence whilst the matter is before the Local Court, to the defendant's lawyers and for a case conference to be held to agree on the

appropriateness of the charges laid. The conference also allows the defendant's lawyers to determine the appropriate plea to be entered and ensure that only those matters that need to be committed for a trial in the District Court, do so.

# **Organisational structures**

The Criminal Law Division completed the restructure of its committals practices to ensure consistent statewide service delivery.

The Family Law Division reorganised duty services at Parramatta Family and Federal Magistrates Court to assist a greater number of unrepresented litigants. The duty service at the Sydney Family Court Registry was expanded to incorporate services provided by Federal Magistrates.

The division also restructured care and protection services in south west Sydney by establishing a full time specialist supervising lawyer to oversee care and protection services in the region. The resources of the Child Support Service and Nowra office family lawyers were combined to establish a joint duty and advice service at Goulburn Local Court.

The Civil Law Division expanded mental health duty services to service additional hospital places established a new Coronial Inquest Unit and created a position of Senior Solicitor for Human Rights matters (See pages 16 and 18 for details).

# **Procedural guidance**

A new Duty Lawyers Manual was developed for both Commission lawyers and private practitioners undertaking duty work throughout the state.

The Family Law Division developed and implemented guidelines for minor assistance in family law matters and non-litigation services in child support to provide more comprehensive services to a wider range of clients needing assistance. The Division also developed the Family Law Practice and Procedures Manual.

The Civil Law Division developed its Civil Law Procedures Manual which contains procedures for staff conducting civil law matters. The manual complements the Commission's Policy Manual and gives clear directions on how to conduct matters, record information and lists the type of matters for which aid is available.

The Criminal Division commenced work to develop a new procedural manual for staff which will provide comprehensive guidance on all aspects of the Commission's criminal law services.

The Grants Division implemented new business rules to ensure consistency in the granting of aid in civil law matters dealt with by both Commission staff and private practitioners.

# **Ensuring policy and procedural compliance**

File reviews and audits were implemented across the Commission's legal practice areas and for practitioners included in the Commission's practitioner panels.

Reviews undertaken throughout the year on work conducted by Commission lawyers revealed very high levels of compliance with the Commission's policies and procedures.

The Commission's Grants Division commenced audits of panel practitioners in August 2005, reviewing almost 50 practitioners on the Commission's Care and Protection, Independent Children's Lawyers and Veterans panels, and undertaking the first audit of duty solicitor work in the children's care and protection jurisdiction.

# The year ahead

- The Commission will review and update its
   Alternative Dispute Resolution policies to ensure
   that the Commission is well positioned to deliver
   these services alongside the Family Relationship
   Centres which are being established by the
   Commonwealth Government.
- The new Coronial Inquest Unit will be evaluated, assessing how effective it has been in providing quality representation in coronial inquest matters and representing the public interest in relevant cases.
- The work of the Human Rights Committee will also be evaluated to determine whether it has enhanced the Commission's capacity to make grants of aid in this important area of law.
- Duty services will be provided for magistrates hearings taking place in new mental health services planned for Lismore and Hornsby.

# **Business support**

- The Criminal Law Division will work with clients and other agencies to ensure the effectiveness of case conferencing in reducing late pleas of guilty in the District Court.
- More training will be provided in criminal law and practice standards for private practitioners in regional areas that do not have a legal aid office.

# Key challenge

To develop new computer systems for managing the granting of legal aid and conduct of cases.

# **Strong financial management**

The Commission maintained a strong financial control environment to ensure its financial responsibilities were met. Annual budgets were negotiated with each business centre manager to ensure that each manager is fully aware of the financial resources that they have been allocated to achieve their business objectives. Monthly reports were provided comparing actuals to budget and associated revenue and expenditure trends. Detailed monthly financial reports were also provided to the Audit and Finance Committee and the Board. The Commission maintains a small funding reserve each year to provide for unexpected expenditure or revenue reductions. (More details on page 59).

Our Financial Services Branch reviewed the budget process to facilitate greater staff involvement and the timely release of internal budgets.

We updated our delegations manual to provide better guidance to staff in decision-making.

An updated financial reporting format assisted managers to better understand their financial reports. A new electronic financial reporting system displaying financial data in both graphical and statement form, can be accessed at any time by managers in order to ascertain their financial position, download reports and analyse transactions.

The lead-time involved in the preparation of the Commission's monthly financial statements was significantly reduced.

This year, we implemented the Australian

Equivalents of the International Financial Reporting Standards (AEIFRS).

# Improving our use of technology

In a first for legal aid commissions around Australia, our IT staff helped develop a selfassessment means test indicator. See page 12 for details.

# Expanded use of audio-visual facilities

The Commission has incorporated audio-visual conferencing (AVL) as a means of expanding client access to our services. The conferencing facilities are used to communicate quickly and easily with clients who are in gaols, people in isolated regional areas who need advice or parties to a family law conference. AVL has many advantages for clients, eliminating travel time, increasing the number of times they can speak to a lawyer and allowing people in different locations to negotiate and settle their dispute with minimum hassle.

During the year the Commission placed audiovisual facilities in an additional eight locations. This brings a total of 15 offices within the Commission with access to audio/video facilities as well as a second studio in Sydney. Throughout the year, AVL was used on 3,691 occasions across all areas of law.

## Electronic service delivery

Our Grants and IT areas have worked together to simplify procedures and enhance our partnership with the private profession. Access to online legal aid applications has made doing business with the Commission easier and faster for private practitioners. The introduction of e-Lodgement by the Commission's Grants Division has increased both the Commission's timeliness and quality of processing applications for legal aid. The average time to process an e-lodged application this year was 4.96 days.

We also introduced an initiative to improve communication with our partners through the provision of information by email developments relevant to Legal Aid.

### New case management system

The Commission commenced a pilot of a new computer system to better manage legal cases. The

new system was piloted at several locations and replaces manual systems with the computerised capability to track cases, manage all aspects of our legal practices and provide operational and management reports.

# Other IT initiatives included:

- Developing and improving our intranet and internet sites, providing users with better information while new gateway and scanning systems increased the efficiency of our email systems.
- Implementing a new data warehouse system that provides more useful management reports linking financial and operational data. Several new systems were implemented allowing staff to store information more effectively and to communicate better with their clients.
- Implementing a new Secure Remote
   Access System to enable users to access the
   Commission's network whilst away from the
   office.
- Replacing and upgrading computers and servers.

# **Energy saving operations**

This year our Operational Support Unit focused on introducing a range of new environmentally-friendly measures, including upgrading lighting to save on energy and developing an environmentally friendly Motor Vehicle Fleet Plan.

Through its new online stationery ordering system, the unit was able to cut back on paper waste and increase its efficiency whilst maintaining a Commission-wide waste reduction policy in accordance with the Government's Waste Reduction and Purchasing Policy (WRAPP).

Major refurbishments were carried out in Campbelltown, Parramatta, Wollongong, Fairfield, Tamworth, and new premises were negotiated for Manly. The Sydney CBD office was outfitted with a new training centre, and major upgrades carried out on the carpet and facilities. A new Office Accommodation Strategic Plan was prepared, supporting the continued upgrade of our offices to achieve professional and functional facilities.

# The year ahead

We will further improve our systems and processes by:

- Implementing the results of the best practice service reviews.
- Developing a replacement Grants Management system, including automated processing and decision support.
- Fully implementing the em ail communication system with our partners.
- · Expanding the use of e-lodgement.
- Continuing to undertake audits of duty and panel practitioners.
- Refurbishing Legal Aid offices in Sydney CBD, Coffs Harbour, Parramatta, Wagga Wagga, Bankstown, Lismore, Fairfield and Campbelltown.
- Reviewing and updating records management systems.
- Continuing the computer and equipment replacement programs.
- Introducing an Internal Audit Control Self Assessment Process.



# **Partnerships**

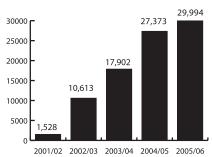
# **Major achievements**

Since 2002, greater numbers of private practitioners have been lodging applications for legal aid online administered by our Grants Division. By 30 June 2006, 837 firms were registered for E-lodgement, an increase of 30% on this time last year. E-lodgement was also expanded to private practitioners in Local Court and Children's Court criminal law matters.

E-lodgement resulted in fast determination of applications. The average processing time for electronic applications was 4.96 days.

E-Lodgement was introduced for tax invoices for private practitioners doing duty lawyer work. Electronic duty invoices were processed, on average, in 0.6 days.

# E-Lodgement requests received 2001–2006



## **Practitioners panels**

Many private practitioners doing legal aid work are members of panels. The Commission's panels are an important initiative aimed at improving both the delivery of legal aid services to the community and our relationship with private lawyers. Panels are being progressively implemented across practice areas. On appointment to a panel, practitioners sign a service agreement and agree to practice standards and audit arrangements. Legal aid work is then assigned to panel practitioners in accordance with published Grants Allocation Guidelines. Panels are strengthening our relationship with the private profession by ensuring transparency in the assignment process and agreement on the terms on which our relationship is conducted. Panels practice

standards are supporting the delivery of quality legal aid services to our clients.

In 2005–2006, panels operated in Children's Criminal Law Matters (renewed this year with 157 practitioners recommended for appointment); Care and Protection, Court of Criminal Appeal, Veterans' Law and Independent Children's Lawyers.

Audits of panel practitioners commenced from August 2005, providing valuable opportunities for the Commission to help practitioners to better understand its policies and guidelines. Nearly 50 panel practitioners on the care and protection, child representative and veterans panels have been audited. Additionally, the first ever audit of duty applications has been undertaken in care and protection duty.

We also established a Monitoring Committee under the *Legal Aid Commission Act*, which will make recommendations concerning private practitioners who are alleged to have breached their panel service agreements. Recommendations may include removal or suspension from the panel.

Since taking carriage of applications to recover costs in both assigned legally aided and inhouse criminal matters in 2004–2005, costs of over \$1,000,000 have been recovered.

### In other initiatives:

- A committal lump sum fee structure to accommodate case conferencing was introduced from 1 January 2006. Business Rules and training accompanied the introduction.
- We introduced significant fee increases for legal aid work undertaken by private practitioners.
- The Legal Aid Commission Regulation, 2006
  was gazetted in March 2006. The regulation
  enables the Commission to appoint practitioners
  to panels for periods from two years up to five
  years. For most panels there will be no closing
  date, so practitioners may apply to join a panel
  at any time.
- Guidelines for solicitors were developed in partnership with the Law Society of NSW for the Duty Solicitor Scheme.

# Shaping the justice system

# The year ahead

The Grants Division will:

- Renew the Care and Protection (specialist Children's Courts), Veterans' Law and Court of Criminal Appeal panels.
- Continue to undertake audits of duty and panel practitioners in accordance with the Commission's Audit Priority Assessment Strategy.
- Roll out E-lodgement for District and Supreme Court trials.
- Establish and implement a new general family law panel for private practitioners undertaking legal aid work.
- Establish a formal policy for reassignments of grants of aid in criminal, family and civil law.
- Assist our family law clients by implementing a referral/transfer system for applications received that are not allocated to a private practitioner.

# **Key challenge**

To ready the Commission and private practitioners for the business process and system changes that will be introduced in line with the new Grants Management system.

### Law reform

The Commission's law reform work places it at the forefront of policy development and innovation in the justice system, ensuring that the interests of clients are identified and acknowledged by the justice system.

The Commission played an important role in contributing to law reform in NSW, preparing a total of 29 submissions in various areas.

We participated in law reform debates, ensuring that the interests of our clients were identified.

This year, the Commission was involved in a number of law reform initiatives, either providing independent submissions or contributing to submissions made by National Legal Aid.

# Submissions were made to the following bodies:

- The NSW Sentencing Council on court imposed fines and their enforcement.
- The NSW Attorney-General's Department on:
  - The Children (Detention Centres) Amendment Bill 2006.
  - Uniform civil procedure rules expert witnesses
  - Review of the Community Justice Centres Act 1983
  - Crimes (Serious Sex Offenders) Bill 2006
  - Proposed amendments to s179 of the *Criminal Procedure Act 1986*.
  - the Report of the working party considering the reform of the Children (Criminal Proceeding Act 1987 and the merger of the Children (Criminal Proceedings) Act 1987 and the Young Offenders Act 1987
  - A proposal to introduce arrest warrant expiration periods
  - A proposed amendment to the *Evidence* (Children) Act 1997 (NSW)
  - Crimes (Sentencing Procedure) Amendment (Gang Leaders) Bill 2006
  - Advocates Immunity (two submissions)
  - the Criminal Procedure Regulations
  - the *Drug Court Act 1998* (two submissions)
  - Police Powers (Drug Premises) Act 2001



# Working with other organisations

- The NSW Commission for Children and Young People in relation to its inquiry into children, young people and the built environment.
- The Department of Community Services in relation to its Review of the Children and Young Persons (Care and Protection) Act 1998.
- The Commonwealth Attorney-General's Department on:
  - its Review of the Proceeds of Crime Act 2002.
  - proposed amendments to the Family Law Act (Parental Responsibility).
- The NSW Ombudsman on:
  - Review of the *Police Powers (Internally Concealed Drugs) Act 2001.*
  - The Review of the Crimes (Administration of Sentences) Amendment Act.
- The Administrative Appeals Tribunal on a General Practice direction – workers compensation.
- The NSW Child Sexual Assault Taskforce on Child sexual assault in Aboriginal Communities.
- The Law Society of NSW on the Law Society Information Barrier guidelines.
- · The Office of Fair Trading on:
  - Residential Tenancy Law Reform
  - · Fair Trading laws relating to telemarketing
  - Issues paper for the Review of Consumer, Trader & Tenancy Tribunal
- The Family Law Council (as part of National Legal Aid's submission) on relocation
- The Department of Community Services on amendments to the Child Support (Assessment) Act, and on proposed amendments to the adoption laws in NSW.
- The NSW Law Reform Commission in relation to its inquiry into the consent to medical treatment of young people in detention.

We also drafted a proposal on alternative dispute resolution in care and protection matters for consideration by the Children's Court and the Department of Community Services.

Staff across the Commission worked closely with other organisations in the community to achieve better results for our clients. During 2005–2006, staff of the Commission worked with many other legal service providers, highlighting the legal needs of disadvantaged communities within a diverse range of public forums and interagency working groups.

NSW Legal Assistance Forum (NLAF)

The Combined Community Legal Centre Group, the Law and Justice Foundation and the Legal Aid Commission have established a NSW Legal Assistance Forum (NLAF). The forum brings agencies involved in legal service delivery together to facilitate statewide collaboration on the planning and delivery of legal services. This year, NLAF focused on law reform and improvements to meeting client needs. New working groups were set up to focus on:

- Providing better civil law services to Aboriginal people, in particular Aboriginal victims of family violence.
- Examining ways of addressing the barriers to access to advice and representation caused through conflict of interest.

# NSW Legal Information and Referral Forum

Key service providers in NSW find new ways of improving the quality of referral services to clients with legal problems. This year, the forum focused on improving referral from non-legal to legal agencies.

# **National Legal Aid Committees**

Australasian Total Legal Aid System (ATLAS) Working Party: Mary Whitehead, Wayne Gale

Family Law Working Group: Judith Walker

Grants Working Group: Richard Funston, Mary Whitehead

Legal Practice Working Group: Steve O'Connor

National Legal Aid Directors: Bill Grant

National Legal Aid Steering Committee, Industry Skills

Council: Elaine Harrison

National Legal Aid Sub Committee on Multi-party Dispute

Resolution: Elaine Harrison

National Statistics Working Party: Mary Whitehead
Primary Dispute Resolution Working Group: Elaine Harrison

## **Law Society of NSW Committees**

Children's Law Specialist Accreditation Committee: Teresa

#### O'Sullivan, Debra Maher

Criminal Law Committee: Teresa O'Sullivan, Brian Sandland, Lester Fernandez, Angela Cook, Annmarie Lumsden

Family Law Committee: Judith Walker, Norman O'Dowd Government Solicitors Committee: Mary Whitehead Law Week Planning Committee: Penny Adams, Kirsten Cameron

NSW Young Lawyers (Executive Council): Olivia Connolly Young Lawyers Criminal Law Committee: Te'res Sia Young Lawyers Family Law Committee: Olivia Connolly (Chair), Alexandra Colquhoun, Christopher Paul

## **Aboriginal Legal Services**

Legal Aid Commission of NSW and Sydney Regional Aboriginal Legal Service Corporation Working Group: Steve O'Connor, Louise Blazejowska, Brian Sandland, Judith Walker, Paul Hayes, Stella Sykiotis

Coalition of Aboriginal Legal Services: Steve O'Connor, Brian Sandland

### **Civil Law Committees**

Administrative Appeals Tribunal Practice Committee: **Bill Gerogiannis** 

Administrative Decisions Tribunal, Guardianship and Protected Estates Users Group: Nihal Danis Asylum Seekers Interagency: Geraldine Read Asylum Seekers Interagency Legal Working Party: Phillipa Martin

Boarders and Lodgers Action Group: Damien Hennessey Combined Forums (ATSI Legal Information Project): Sri Ogden, Alex Grosart, Yolanda D'Aquina, Robert Wheeler Consumer Credit Legal Centre: Stella Sykiotis (Management Committee)

Department of Housing's Anti-Social Behaviour Consultation Forum: Sarah Nielsen

Discrimination Lawyers Group Steering Committee: Fiona

Do-it-yourself Discrimination Complaint Kit Project Steering Committee, Kingsford Legal Centre: Fiona Pace Getting off the Referral Roundabout Training Video and Kit Project Steering Committee, Kingsford Legal Centre: Fiona Pace

Industrial Relations Commission User Group: David McMillan

Insurance Council of Australia, Committee for Consumer Consultation: Alex Grosart, David Coorey, Guy Donnellan International Committee of Jurists: Elizabeth Biok Illawarra Forum, Mental Health Committee: Mathew Turner Mental Health Advocacy Network: Nihal Danis Mental Health Liaison Group: Bill Grant, Robert Wheeler Minister for Fair Trading, Retirement Villages Advisory Council: Lynne Wilkins National Asylum Seekers and Refugee Forum: **Bill Gerogiannis** 

Office of Fair Trading, Uniform Consumer Credit Working Party: John Moratelli, Dave McMillan

Office of Fair Trading, Consumer Trader and Tenancy Tribunal Commercial Division Consultative Forum: **Paul Batley**,

Cvetanka Jankulovska, David McMillan

Office of Fair Trading, Consumer Trader and Tenancy Tribunal Tenancy Division Consultative Forum: Damien Hennessey Park and Village Forum: Lynne Wilkins, Damien Hennessey People with Mental Health Disorders and Cognitive Disabilities in the Criminal Justice System, Research Project: Robert Wheeler

Predatory Lending Project: John Moratelli, David McMillan Public Housing Issues Working Party: Damien Hennessey Public Interest Advocacy Centre: Bill Grant (Management Committee)

Public Interest Law Clearing House: Bill Grant (Director) Refugee Council of Australia: Liz Biok

Refugee Review Tribunal /Migration Review Tribunal Liaison Committee: **Geraldine Read** 

Start Out Right Steering Committee (for Illawarra Youth): Mathew Turner

Supreme Court-Possession Users Group: Bill Grant, Robert Wheeler

Temporary Protection Visa Project: Phillipa Martin Tenancy Legal Working Party: Lynne Wilkins, Damien Hennessey, Sarah Nielsen

Welfare Rights Centre: Elizabeth Biok (Management Board)

Western NSW Community Legal Centre: Patrick Latham

### **Criminal Law Committees**

Attorney General's Working Party on the Children's (Care and Protection) Act and Young Offenders Act: Debra Maher Children's Court Advisory Committee: Teresa O'Sullivan Children's Court Assistance Scheme Advisory Committee: Mia Prodigalidad, Debra Maher, Louise Sutherland, Dennis Roach

Court Users Forum: Matthew Coglan, Nerissa Keay, John Mulder, Kerry Johnston, Mick O'Loughlin, Peter Hunter Standing Committee of Criminal Justice Chief Executive Officers: Bill Grant

Youth Action and Policy Association: Mia Prodigalidad, Louise Sutherland

Youth Justice Advisory Committee: Teresa O'Sullivan Youth Justice Coalition: Louise Sutherland, Aaron Tang, Mia Prodigalidad, Louise Sutherland

### **Family Law Committees**

Bellingen Interagency Group: Gene Roche
Campbelltown Local Court Users Group: Kerrie O'Donnell
Care Practice Working Group: Judith Walker

Centacare (Campbelltown) Advisory Committee: **Kerrie O'Donnell** Centacare (Wollongong) Advisory Committee: **Paul Ryan**, **Vera Temelkovska** 

Child Support Agency, National Registrars Advisory Panel: Ruth Pilkinton

Child Support Agency, State Managers Advisory Panel: Sally Cole, Matthew Greenaway

Children's Court Advisory Committee: Katarzyna Rutkowska Children's Court Working Group: Judith Walker Coffs Harbour Court Users Group: Gene Roche, Jane Corcoran

Coffs Harbour Family Law Practitioners Association: Gene Roche, Jane Corcoran

Coffs Harbour Interagency Group: Gene Roche Court Users Group, Toronto Children's Court: Nicola Callander, Phillip Squires

Families and Community Services and Indigenous Affairs (FaCSIA): Matthew Greenaway

Family Court of Australia Domestic Violence Reference Group: Alex Wearne

Family Court's Living in Harmony Partnership: Kylie Beckhouse

Family Court Magellan Steering Committee: **Judith Walker** Gateway Committee, Newcastle: **Kim O'Rourke** 

Greater Metropolitan Domestic Violence Group: Maureen Power

Greater Sydney Families in Transition, Pathway Network Group: Nicki Adams

Family Court, Mental Health Support Project Working Party: Judith Walker

Family Court Domestic Violence Committee: Alex Wearne Hunter Valley Family Law Practitioners Association: Allan Scally, Kim O'Rourke, Margaret Wood, Nicola Callander, Philip Squires

Inner City Legal Centre: Paul Guterres (volunteer)
Interrelate Contact Centre, Coffs Harbour, Advisory

Committee: Jane Corcoran, Gene Roche

Jean's Place, Marrickville Women's Refuge: Dina Lioumis (Board Member)

Newcastle Law Society, Children's Law and Community Legal Education Committees: Nicola Callander

National Steering Committee, Children's Cases Program: Judith Walker

Orange Family Support Service Management Committee: Jane Fuller

Pacific islander and Police Support Community Support Group (Liverpool/Green Valley): Atoa Aiolupotea Pathways Committee (Newcastle): Kim O'Rourke Relationships Australia Contact Centre (Newcastle): Nicola Callander

Relationships Australia, Rainbows at Broadmeadows: Nicola Callander

Shoalcoast Community Legal Centre: Richard Hughes (Executive Committee)

St James Local Court User Committee: Katarzyna Rutkowska

Refugee Advice and Caseworker Service, Temporary Protection Visa Project: **Katie Wrigley** Warilla Neighbourhood Centre, Advisory Committee: **Paul Ryan, Vera Temelkovska** 

#### Other Committees and Forums

Administrative Decisions Tribunal: Leisha Bubniuk
Apprehended Violence Legal Issues Coordinating Committee:
Jacqui Lane, Tracey Corbin-Matchett, Louise Blazejowska
Combined Community Legal Centre Training Network: Sandy
Degrassi

Community Legal Centre Review Steering Committee: Louise Blazejowska, Dennis Roach, Alan Turner

Cross Justice Agency Video Conferencing Steering Committee: Russell Cox

Courtlink: Steve O'Connor, Paul Hayes

Family and Domestic Violence Senior Officers Group (NSW Family and Domestic Violence Policy): Louise Blazejowska,

Tracey Corbin-Matchett

Law and Justice Foundation Steering Committee, Online Data Digest: **Kirsten Bowman** 

LawAccess NSW: Bill Grant (Board Member)

Legal Information Access Centre: Bill Grant (Board Member) National Legal Aid Forum Training Reference Group: Sandy Degrassi, Louise Blazejowska

NSW Legal Assistance Forum: Bill Grant (Chair of the

Board), Louise Blazejowska

NSW Legal Assistance Forum, Aboriginal Client Working Group: Louise Blazejowska, Dennis Roach, Steve O'Connor

NSW Legal Assistance Forum, Conflict of Interest Working Party: Aideen McGarrigle, Louise Blazejowska

Privacy Advisory Committee: Bill Grant

Public Interest Advocacy Centre's Homeless Persons' Legal

Service Working Group: Christopher Paul

Public Sector Learning and Development Network: Sandy Degrassi

Standing Inter-Agency Advisory Committee on Court Security: Russell Cox

Update of the Domestic Violence Interagency guidelines (Violence Against Women Specialist Unit), Department of Community Services: Louise Blazejowska

Victims Advisory Board: Bill Grant (Chair)

Women's Domestic Violence Court Assistance Program Database Review: Jacqui Lane, Tracey Corbin-Matchett, Louise Blazejowska, Alan Turner

Women's Domestic Violence Court Assistance Program Funding Formula Committee: Jacqui Lane, Tracey Corbin-Matchett, Louise Blazejowska, Alan Turner

# Legislative compliance

# **Freedom of information Report**

Name of Agency: Legal Aid Commission of

**New South Wales** 

**Period:** from 1 July 2005 to 30 June 2006

**Agency Reference Number: 48** 

Nil Return: No

Contact Name: Lyndsay Brooker (02) 9219 5859

# **FOI applications**

The Commission is an "agency" under the Freedom of Information Act 1989 (the FOI Act) and is required to publish certain information and to determine requests for access to, or amendment of, information held by the Commission.

In accordance with its obligations under the FOI Act, the Commission published its Summary of

	2004–2005 2005–2006							
	Personal	Other	Total	Pe	ersonal	Other	Total	
New (includes transferred in)	14	10	24		20	6	26	
Brought forward	1	1	2		1	2	3	
Total to be processed	25	1	26		20	8	28	
Completed	10	12	22		14	6	20	
Transferred out	1	0	0		1	0	1	
Withdrawn	1	0	0		0	0	0	
Total Processed	18	7	25		20	8	28	
Unfinished (Carried Forward)	0	1	1		7	1	8	
Results of FOI Requests								
Granted in full	9	9	18		10	2	12	
Granted in part	0	1	1		1	0	1	
Refused	0	3	3		3	4	7	
Deferred	0	0	0		0	0	0	
Completed	9	13	22		14	6	20	
Ministerial Certificates								
Issued		nil				nil		
Amendment of Personal Records								
Requests		nil				nil		
Narration of Personal Records								
Requests		nil				nil		
Basis of Disallowing or Restricting Access								
Section 10 (redirected)					1	0	1	
Section 19								
(Application incomplete, wrongly directed)	1	0	1		1	0	1	
Section 22 (Deposit not paid)	0	0	0		0	0	0	
Section 22 (Diversion of resources)	0	0	0		0	0	0	
Section 25 (1)(a) (Exempt)	4	0	4		4	0	4	
Section 25(1)(b),(c),(d) (Otherwise available)	0	0	0		1	0	1	
Section 28(1)(b) (Documents not held)	0	0	0		1	0	1	
Section 24(2) (Deemed refused - over 21 days)	0	0	0		0	0	0	
Total	5	0	5		8	0	8	
All Completed Requests								
FOI Fees Received	\$180	\$645	\$825		\$180	\$90	\$270	
	,	,	,		,	, , ,	, _, _	



Affairs for the period ending December 2005 and June 2006, and its Statement of Affairs for the period ending June 2006.

This year, we completed 20 FOI requests, granting 13 and refusing seven. The number of applications received by the Commission still remains low, and steady compared to the previous year. The administration of the *FOI Act* did not cause any major diversion of the Commission's resources and had no impact on the Commission's activities.

During 2005–2006, the Commission continued to make information about legal aid and other matters available to the public, where possible, free of charge. The Commission also provided specific information without requiring a formal application and application fee.

The Commission provides advice to staff, members of the public and others about the use and application of the *FOI Act*.

		2004–2005 2005–2006						
			l Other	Tot	al	Personal		Total
Type of Discount Allowed								
Public Interest		0	0	(	)	0	0	0
Financial Hardship Pensioner/Child		7	0	7	7	9	4	13
Financial Hardship Non-Profit Organisa	tion	0	0	(	)	0	0	0
Total		7	0	7	7	9	4	13
Days to Process								
0-21 days		4	3	7	7	5	3	8
22-35 days		2	2	4	1	5	1	6
Over 35 days		6	5	11	l	5	1	6
Total		12	10	22	2	15	5	20
Processing Hours								
0-10 hrs		12	9	21	l	9	5	14
11-20 hrs		0	1	1	l	6	0	6
21-40 hrs		0	0	(	)	0	0	0
Over 40 hrs		0	0	(	)	0	0	0
Total		12	10	22	2	15	5	20
		Issued	Total			Issued	Total	
Number of requests requiring								
formal consultation(s)		0	0			0	0	
Reviews and appeals								
Number of internal reviews finalised		0	0			0	0	
Number of Ombudsman reviews finalis	sed	0	0			0	0	
Number of Administrative Decisions								
Tribunal appeals finalised		0	0			0	0	
	Per	sonal	Oth	er	Per	sonal	01	ther
Internal Review Results	Uphel	d Varied	Upheld V	aried	Upheld	l Varied	Uphelo	l Varied
Access refused	0	0	0	0	0	0	0	0
Deferred	0	0	0	0	0	0	0	0
Exempt matter	0	0	0	0	0	0	0	0
Unreasonable charges	0	0	0	0	0	0	0	0
Charge unreasonably incurred	0	0	0	0	0	0	0	0
Amendment refused	0	0	0	0	0	0	0	0
Totals	0	0	0	0	0	0	0	0

# **Client diversity**

### **Protected disclosures**

The Commission has a Protected Disclosures Policy in place to enable employees make appropriate disclosures about any instances of corruption, fraud, maladministration or serious and substantial waste of public money without fearing that this will lead to reprisals.

In 2005–2006, the Commission did not receive any notifications under the Protected Disclosures Policy.

## Legislative amendments

On 17 March 2006, the *Public Sector Employment Legislation Amendment Act 2006 (PSELA Act)* changed the employment arrangements for a number of government entities. For the Legal Aid Commission, the legislation has seen the:

- previous Department name of Legal Aid Commission change to 'Office of the Legal Aid Commission' and listing under this title in Part 1, Schedule 1 of the Public Sector Employment and Management Act.
- creation of another Division of the Government Service under Part 3 of Schedule 1 of the Public Sector Employment and Management Act (PSE&M Act) named the "Legal Aid Commission Temporary Staff Division" which is hosted by the "Office of the Legal Aid Commission"; and
- continuation of a statutory corporation named the "Legal Aid Commission".

The Legal Aid Commission Regulation 2006 was made under the Legal Aid Commission Act 1979. The regulation allows the Legal Aid Commission to extend the term of appointment for private legal practitioners assigned work on behalf of legally assisted persons to a period of up to five years.

# Significant cases

Khoury & Anor v Hiar & Anor [2006] NSWCA 47

The Commission joined a legally aided client in defending a costs order sought contrary to s47 of the *Legal Aid Commission Act 1979 (NSW)*. The Court of Appeal confirmed the operation of s47.

In 2002, a successful action was taken against a legally assisted client and a costs order was made against her. The other party's solicitors proceeded to enforce a judgement in the order of \$50,000 and issued a writ of execution against our client.

Generally, a legally assisted person is not liable for costs ordered against them in relation to work done in the time during which they were legally aided. In the subject proceedings, the Commission sought orders quashing both the judgment and the writ of execution on the grounds that they stood contrary to the requirements of s47 of the *Act*.

The Commission succeeded in stopping the other party from enforcing the costs judgment against our client.

## Privacy and personal information protection

The Privacy and Personal Information Protection Act 1998 (PPIP Act) introduced a set of privacy standards for New South Wales public sector agencies. The Commission has a privacy plan and guidelines to ensure compliance with the Information Protection Principles contained in the PPIP Act as modified by the Privacy Code of Practice.

Part 5 of the *PPIP Act* provides that a person who is aggrieved by the conduct of a public sector agency is entitled to a review of that conduct. The Commission has received no applications for internal review under Part 5, and no internal reviews were conducted in 2005–2006.

# **Client diversity**

The Commission is required by the State Government to address the needs of particular client groups—disabled people, people from culturally diverse backgrounds, and women.

This year, 27.2% of our clients were women and 14.5% were from non-English speaking backgrounds (See pages 14, 17 and 19 for percentage details in different areas of law). Statistics are not available on the number of clients with disabilities.

Strategic planning documents were developed following consultative processes to integrate with the Commission's corporate and business planning objectives. The EAPS Forward Plan and the Disability Action Plan identify how the Commission will undertake to further improve access to and enhance its services to people with a disability or from culturally and linguistically diverse (CALD) backgrounds. These Plans were distributed

electronically across the Commission and to the community and focus on ensuring:

- All members of the community have access to, and aware of our services and programs;
- There is no discrimination against people from "diversity specific" backgrounds in our services or workplace;
- Access and equity principles are incorporated in the Commission's policies and practices;
- Equitable and consistent service delivery, addressing the specific needs of "diversity" clients.

# Performance highlights—general

Human Rights: We established a Human Rights Committee that will recommend grants of aid in public interest human rights matters. People from diverse backgrounds will benefit either directly or indirectly from legal representation undertaken in this area.

Law Reform: The Commission has responded to 29 discussion papers that impact on access issues of persons from socially disadvantaged backgrounds (See page 46). The rights of people from diverse backgrounds were highlighted in many submissions. Actual case studies were used to support access and discriminatory practices or concerns.

Below: The Living in Harmony Project: Legal Aid Family lawyers helping educate emerging communities about the law.

Discrimination Law Kit: In partnership with the Blue Mountains Community Legal Centre, the Civil Law Division started work on a publication that aims to provide a comprehensive guide to assist unrepresented litigants to better understand and pursue their rights under discrimination legislation.

*E-communication:* Our intranet site has been enhanced to include EAPS Policy, Disability Policy, EAPS Forward Plan, and the Disability Action Plan and other relevant resources and training. This will assist all staff providing services to culturally and linguistically diverse clients.

Statistics: The Commission collected data that profiled our clients' backgrounds, enabling us to better target and adapt our services to the diverse needs of clients. All such information is provided voluntarily by clients. Disability data is generally collected indirectly and is self-nominated.

# **Ethnic Affairs Priority Statement (EAPS)**

The following projects addressed the needs of people from culturally diverse backgrounds

Living in Harmony Project: In partnership with the Family Court, our family lawyers helped to educate emerging communities about the law and the roles of different government departments. The project included communities from Somalia, Eritrea, Ethiopia, Sudan, Afghanistan and Iraq (see photo below). Legal Aid continues to support this initiative. Bicultural educators have subsequently



presented sessions at legal aid forums highlighting cross cultural issues that should be considered when dealing with African clients.

Legal Aid liaison network: We established a network of trained legal aid lawyers to liaise with six specific emerging communities to foster ongoing links in understanding and addressing their specific needs. Targeted communities were Somalia, Eritrea, Ethiopia, Sudan, Afghanistan and Iraq.

*Immigration visas:* We represented applicants from 21 different countries who were granted protection visas based on fear of persecution in their homelands. Countries most represented included Iraq, China, Afghanistan, Sri Lanka, Burma, Kosovo, and Sierra Leone.

Refugee Week in Sydney south west: In partnership with the South West Sydney Legal Centre, we provided information to people from many backgrounds including those from Brazil, Sudan and Serbia.

*Audio CD:* We produced an audio CD for people with low literacy skills within newly emerging communities, in the following languages: Amharic, Dari, Dinka, Pushto, Somali, Swahili and Tigrinya.

Women from CALD backgrounds: Over 10% of women who are assisted by the Women's Domestic Violence Court Assistance Program identify as being from CALD backgrounds. To ensure that women can better access this service, our brochure Domestic Violence – help with going to court was translated into 15 community languages.

*Interpreters:* Extensive use was made of interpreters for phone and face-to-face professional interviews, community legal education sessions and court hearings. A total of \$654,285 was spent on interpreters and translators.

# **Disability Action Plan initiatives**

Discussion paper: We provided a comprehensive submission along with case studies to the Discussion Paper "Are the rights of people whose capacity is in question being adequately promoted and protected?" sponsored by the Attorney General's Department of NSW.

Mental health: We conducted a major review of the Mental Health Advocacy Service to assess its operations and recommend how to improve and address the future needs of the service in meeting the demands of clients and the community. This year, another 40 staff attended our training course Demystifying Mental Illness, learning how to identify and work with people with mental illnesses.

### **NSW Government Action Plan for Women initiatives**

*Women in Prison Project:* We expanded services provided to women in custody through an advice services at five prisons across NSW. This year, we advised 154 women.

**Domestic violence:** Our women's Domestic Violence Court Assistance Program delivered 33,915 services to women, including 2,353 services to Aboriginal women.

# The year ahead

In 2006–2007 Commission will:

- Work with agencies to develop joint ventures to enhance and develop access, awareness and recruitment options for persons with disabilities.
- Investigate how e-communication can be used to provide services to persons with a disability, especially those in rural areas or with mobility issues.
- Assist in Identifying systemic processes in the criminal justice system and its impact on persons with intellectual disabilities and mental health issues.
- The Women's Domestic Violence Court
   Assistance Program will review its service delivery to marginalised women, including services to women with disabilities.
- Launch our audio-visual CD in seven languages and distribute it widely.
- Conduct legal workshops during Refugee Week for members of new and emerging communities.



# **Our policies**

The Commission is a state funded body providing legal assistance in matters arising under New South Wales law. The Commission also has an agreement with the Commonwealth to provide legal assistance in matters arising under Commonwealth law.

The Commission has developed a range of policies to make decisions which are fair, consistent and financially responsible and target those individuals in the community who have been determined as having high priority for our services.

In deciding whether an applicant is eligible for legal aid, the Commission may apply the following four tests which are summarised below:

 Jurisdiction test – looks at the type of matter for which aid is sought. Legal aid is only available in the following areas of law:

### Family law: State

- Proceedings under the Property (Relationships)
   Act 1984
- Adoption proceedings
- Domestic violence proceedings as set out in the criminal law policies

## Family law: Commonwealth

Matters arising under the Family Law Act 1975, the Child Support (Assessment) Act 1989 and the Child Support (Registration And Collection) Act 1988 limited to;

- Separate representation of children
- Other orders relating to children, including parenting orders, location and recovery orders
- Parenting plans
- · Injunctions relating to family violence
- Child support and child maintenance
- · Spousal maintenance
- Dissolution and nullity of marriage
- Property proceedings
- · Enforcement proceedings, or
- Contempt and breach of court orders proceedings

## **Civil law: State**

- Matters where there is a likelihood of loss of the applicant's dwelling
- · Matters involving loss of civil liberties, eg. False

- imprisonment, malicious prosecution
- Protected Estates Act 1983 matters
- Matters under part 3 division 6 or section 41 of the Public Health Act 1991
- Matters before the Equal Opportunity Division of the Administrative Decisions Tribunal
- Inquests in limited circumstances
- · Consumer protection matters
- Public interest environment matters

Legal aid is also available in state civil law matters where the applicant is at 'special disadvantage'.

# Applicants at special disadvantage

Applicants at special disadvantage may be granted assistance in a wider range of matters, for example, personal injury, professional negligence and employment matters. Applicants are at special disadvantage when "proceedings are taken by or for the benefit of a child or a person having substantial difficulty in dealing with the legal system by reason of a substantial psychiatric condition, developmental disability, intellectual impairment or a physical disability".

# **Civil law: Commonwealth**

Matters arising under a *Commonwealth Act,* limited to:

- A decision affecting the receipt or amount of a Commonwealth employee's compensation or a Commonwealth pension, benefit or allowance
- A decision or action by the Commonwealth in relation to a person that has a real prospect of affecting the person's capacity to continue in their usual occupation
- Discrimination
- Migration matters, in limited circumstances

   (aid is also available under the immigration advice & application assistance scheme (IAAAS) contract between the Commission and the Commonwealth government.)
- Consumer protection
- Proceedings under the *Proceeds of Crime Act 2002*

# Veterans' pension

- Appeals from decisions of the veterans' review board about war-caused disability pension entitlement or assessment claims under part ii of the Veterans' Entitlements Act 1988
- Appeals from decisions of the veterans' review board about claims under the Military Rehabilitation And Compensation Act 2004

### **Mental health**

- Magistrates inquiries under the Mental Health Act 1900
- Proceedings before the Mental Health Review Tribunal
- · Representation of forensic patients
- Guardianship Act 1987 matters
- Protected Estates Act 1983 matters

## **Criminal law: State**

# Local court:

- Most criminal matters commenced by a police charge, except for drink driving and related offences unless there is a real possibility of gaol or exceptional circumstances exist
- · Committal proceedings
- · Domestic violence proceedings
- Annulment applications under part 2 of the Crimes (Local Courts Appeal And Review) Act 2001
- Drug court matters

# District, Supreme and High Court

- Indictable matters
- Appeals
- Proceedings under part 13A of the Crimes Act 1900
- Defendants in prosecutions in the land and environment court under environmental protection legislation in limited circumstances
- Defended charges arising under Commonwealth statute (excluding the proceeds of *Crime Act* 1987) in certain circumstances
- Pleas of guilty in limited circumstances
- Child support representation and assistance for Child Support (Assessment) Act 1989 proceedings

## Prisoners' matters—State and Commonwealth

- Visiting justice proceedings
- Parole Board Review Hearings
- Life re-sentencing applications
- Reviews of segregation directions
- Advice and minor assistance in other matters

# Children's matters—State and Commonwealth Children's criminal matters

- Proceedings in the Children's Court
- Appeals to District Court
- Committal proceedings
- Sentence matters and trials in the District Court and Supreme Court
- Court Of Criminal Appeal and High Court.

### Children's care matters

- Proceedings under the Children and Young Persons (Care And Protection) Act 1998
- · Proceedings in the Children's Court,
- Proceedings in the District Court, Supreme Court and High Court
- Proceedings in the Community Services Division of the Administrative Decisions Tribunal
- 2. Means test the Commission applies a means test which takes into account an applicant's income and assets. Eligibility is determined by assessing the net assessable income (after allowable deductions) and the assets of the applicant and financially associated persons. The means tests apply to both state and Commonwealth matters.

The means test does NOT apply for:

- Legal advice
- Family law duty matters where the applicant is in custody
- Children in the Children's Court and appeals to the District Court in care matters
- Children in the Community Services Division of the Administrative Decisions Tribunal and appeals to the Supreme Court from the Tribunal
- Children where an order for separate



representation is made by the family court

- First appearance bail applications in the local court
- Most mental health advocacy service matters
- Veterans' Entitlements Act 1986 matters to exservice personnel and their dependants (except for war service pension claims)
- Disabled persons before the Guardianship Tribunal and in Supreme Court appeals
- Drug Court matters.

From 10 October 2005, applicants for legal aid receiving one of the eligible Centrelink income support payments, at the maximum rate can use their Centrelink statement of benefit to satisfy the income part of the means test. For further details, see the Commission's website at www.legalaid.nsw.gov.au.

3. Merit test – there are two merit tests: one for state law matters; and another for Commonwealth law matters.

In state law matters, the Commission considers whether it is reasonable in all the circumstances to grant legal aid. Matters include but are not limited to whether the applicant has reasonable prospects of success and any benefit or detriment to the applicant if aid is refused.

In Commonwealth law matters, the applicant must satisfy the following three criteria:

- The reasonable prospects of success test;
- · The prudent self-funding litigant test; and
- The appropriateness of spending limited public legal aid funds test.

# A merit test applies in:

- Most non-criminal matters (civil, family, administrative law matters and veterans' matters)
- Appeals in criminal matters
- Supreme Court bail matters
- Some matters associated with children's court proceedings (eg appeals from the Children's Court to the District Court)

# A merit test does not apply in:

- Criminal law matters (except appeals and Supreme Court bail applications)
- Children in the Children's Court
- Disabled persons for matters before the Guardianship Tribunal
- Separate representation of children in Family Court proceedings
- Some Mental Health Act 1900 matters.
- 4. Availability of funds test legal aid will only be granted if the Commission determines that sufficient funds are available.

## **Contributions**

In most cases the Commission requires an initial contribution based on income and assets from a person granted legal aid. Certain cases are exempt.

At the conclusion of the case or the legal aid grant, the Commission may, (depending on the person's financial situation) recover the total costs of a matter where the applicant has recovered a sum of money or other asset or there is a substantial improvement in their financial circumstances.

For further details of our policies, please contact the Review & Reform Unit in the Strategic Planning and Policy Division on 9219 5859 or 9219 5034.

# **CEO's performance statement**



### PERFORMANCE STATEMENT

### CHIEF EXECUTIVE OFFICER, LEGAL AID COMMISSION OF NSW

NAME:

Bill Grant

POSITION:

Chief Executive Officer, Legal Aid Commission of NSW (SES 6)

PERIOD:

1 July 2005 to 30 June 2006

During the period 1 July 2005 to 30 June 2006 the Commission, under the direction of Chief Executive Officer, Mr Bill Grant, has delivered increased services in just about all areas of service delivery. It is particularly pleasing to note that the Commission has undertaken a series of initiatives to increase its service delivery to the Aboriginal Community in NSW with the proportion of the Commission's case and duty services to this community now reaching 6% of its total services (up from 3.8% in 2001)

The Commission has introduced some good initiatives in its Civil Law program with the commencement of the Women in Custody project, providing legal advice and assistance to women with civil and family law problems, particularly targeting Aboriginal women in custody. The Commission also established a Coronial Inquest Unit to provide legal representation in public interest cases for people who have lost a family member. Another new initiative in civil law was the creation of the Human Rights Committee which focuses on promoting and protecting human rights in public interest human rights matters.

Importantly the Commission has during the year tackled the reform of its Means Test by introducing a simplified means test for people who receive a Centrelink income support payment at the maximum rate and by increasing its means test threshold. The Commission has now brought its means test completely up to date which is a considerable step forward for access to its services by the economically and socially disadvantaged members of our community. The Commission also developed a Means Test Indicator, available on the Commission's web-site, which allows clients to quickly assess whether they may be financially eligible under the Commission's means test.

It is also significant that the Commission has increased its fees payable to private practitioners in State matters to better enable it to retain experienced private practitioners undertaking legal aid work. The Co-operative Legal Service Delivery Model which seeks to improve co-operation between key legal service providers was evaluated and strongly endorsed with the Commission rolling out the CLSD Model to the Albury/Wagga Wagg and Hunter Regions in line with the evaluation report recommendations.

The Commission also played a key role in the creation of the NSW Legal Assistance Forum which is a meeting of the key NSW legal service delivery agencies working strategically and collaboratively to improve service delivery to the people of NSW.

The Commission continues to demonstrate a strong commitment to staff training and development. An illustration of this is the development, in conjunction with the University of Technology Sydney of a Diploma of Business in Frontline Management with over 120 staff enrolling in the course and over 70 staff having graduated. The Commission is also rolling out across the Commission a Performance Planning and Development System and a mentoring program having trialled both of these systems in the previous 12 months.

In 2005/06 the Commission implemented a two year Corporate Plan, based upon divisional business plans so that the planning process has been delivered to the operation business areas of the Commission, ensuring the Corporate Plan is very focused on service delivery initiatives.

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